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**Research Articles: Systems/Circuits**

**Induction and quantification of excitability changes in human cortical networks**

**Corey J. Keller<sup>1,2,3,4,5</sup>, Yuhao Huang<sup>2</sup>, Jose L. Herrero<sup>1</sup>, Maria Fini<sup>1</sup>, Victor Du<sup>1</sup>, Fred A. Lado<sup>4,6</sup>, Christopher J. Honey<sup>7</sup> and Ashesh D. Mehta<sup>1</sup>**

<sup>1</sup>*Department of Neurosurgery*

<sup>2</sup>*Department of Psychiatry and Behavioral Sciences*

<sup>3</sup>*Stanford Neuroscience Institute, Stanford University Medical Center, Stanford, CA*

<sup>4</sup>*Departments of Neuroscience and Neurology, Albert Einstein College of Medicine, Bronx, NY*

<sup>5</sup>*Veterans Affairs Palo Alto Healthcare System, and the Sierra Pacific Mental Illness, Research, Education, and Clinical Center (MIRECC), Palo Alto, CA, 94394, USA*

<sup>6</sup>*Neurology, Hofstra Northwell School of Medicine, and Feinstein Institute for Medical Research, Manhasset, NY*

<sup>7</sup>*Department of Psychological and Brain Sciences, Johns Hopkins University, Baltimore, MD*

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**Correspondence should be addressed to** To whom correspondence should be addressed: Corey Keller, MD, PhD, Phone: (802) 578-6292, Email: [ckeller1@stanford.edu](mailto:ckeller1@stanford.edu)

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## Induction and quantification of excitability changes in human cortical networks

Corey J. Keller<sup>1,2,3,4,5,\*</sup>, Yuhao Huang<sup>2,\*</sup>, Jose L. Herrero<sup>1</sup>, Maria Fini<sup>1</sup>, Victor Du<sup>1</sup>,  
Fred A. Lado<sup>4,6</sup>, Christopher J. Honey<sup>7</sup>, Ashesh D. Mehta<sup>1</sup>

Department of <sup>1</sup>Neurosurgery and <sup>6</sup>Neurology, Hofstra Northwell School of Medicine, and  
Feinstein Institute for Medical Research, Manhasset, NY

<sup>2</sup>Department of Psychiatry and Behavioral Sciences and <sup>3</sup>Stanford Neuroscience Institute,  
Stanford University Medical Center, Stanford, CA

<sup>5</sup>Veterans Affairs Palo Alto Healthcare System, and the Sierra Pacific Mental Illness, Research,  
Education, and Clinical Center (MIRECC), Palo Alto, CA, 94394, USA

<sup>4</sup>Departments of Neuroscience and Neurology, Albert Einstein College of Medicine, Bronx, NY

<sup>7</sup>Department of Psychological and Brain Sciences, Johns Hopkins University, Baltimore, MD

<sup>δ</sup>To whom correspondence should be addressed: Corey Keller, MD, PhD  
Phone: (802) 578-6292  
Email: ckeller1@stanford.edu

\*These authors contributed equally to this work

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51 **Abstract.** How does human brain stimulation result in lasting changes in cortical excitability?

52 Uncertainty on this question hinders the development of personalized brain stimulation

53 therapies. To characterize how cortical excitability is altered by stimulation, we applied

54 repetitive direct electrical stimulation in eight human subjects (male and female) undergoing

55 intracranial monitoring. We evaluated single-pulse corticocortical evoked potentials (CCEPs)

56 before and after repetitive stimulation across prefrontal (N=4), temporal (N=1), and motor (N=3)

57 cortices. We asked if a single session of repetitive stimulation was sufficient to induce

58 excitability changes across distributed cortical sites. We found a subset of regions at which 10Hz

59 prefrontal repetitive stimulation resulted in both potentiation and suppression of excitability

60 that persisted for at least 10 minutes. We then asked if these dynamics could be modeled by the

61 pre-stimulation connectivity profile of each subject. We found that cortical regions (i)

62 anatomically close to the stimulated site and (ii) exhibiting high-amplitude CCEPs underwent

63 changes in excitability following repetitive stimulation. We demonstrate high accuracy (72-95%)

64 and discriminability (81-99%) in predicting regions exhibiting changes using individual subjects'

65 pre-stimulation connectivity profile, and show that adding pre-stimulation connectivity features

66 significantly improved model performance. The same features predicted regions of modulation

67 following motor and temporal cortices stimulation in an independent dataset. Taken together,

68 baseline connectivity profile can be used to predict regions susceptible to brain changes and

69 provides a basis for personalizing therapeutic stimulation.

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76 **Significance Statement.** Brain stimulation is increasingly used to treat neuropsychiatric  
77 disorders by inducing excitability changes at specific brain regions. However, our understanding  
78 of how, when, and where these changes are induced is critically lacking. We inferred plasticity in  
79 the human brain after applying electrical stimulation to the brain's surface and measuring  
80 changes in excitability. We observed excitability changes in regions anatomically and  
81 functionally closer to the stimulation site. Those in responsive regions were accurately predicted  
82 using a classifier trained on baseline brain network characteristics. Finally, we showed that the  
83 excitability changes can potentially be monitored in real-time. These results begin to fill basic  
84 gaps in our understanding of stimulation-induced neuronal dynamics in humans and offer  
85 pathways to optimize stimulation protocols.

86

87

88 **Introduction.** Extensive preclinical studies have shown that high frequency (~100Hz) electrical  
89 brain stimulation increases neuronal excitability (Bliss and Lomo, 1973; Douglas, 1977; Skrede  
90 and Malthe-Sorensen, 1981), whereas low frequency (~1Hz) decreases neuronal excitability  
91 (Mulkey and Malenka, 1992). In humans, the effect of brain stimulation has been studied within  
92 the motor cortex by applying repetitive transcranial magnetic stimulation (rTMS). Following  
93 rTMS, excitability changes to this area can be measured with direct motor outputs such as the  
94 motor evoked potential (MEP). Consistent with animal literature, high frequency ( $\geq 5$ Hz) rTMS to  
95 the motor cortex generally increase MEPs, while low frequency (1Hz) rTMS decrease MEPs  
96 (reviewed in (Fitzgerald et al., 2006)). High frequency motor cortex rTMS also modulates  
97 downstream regions functionally connected to the stimulation site (Siebner et al., 2000; Takano  
98 et al., 2004; Rounis et al., 2005).

99         Despite our understanding of plasticity in animal models and human motor cortex, little  
100 is known about the effects of repetitive stimulation in human non-motor cortices. The  
101 conventional notion derived from animal slices and human motor cortex remain that high  
102 frequency stimulation (i) consistently induces potentiation of cortical excitability (reviewed in  
103 (O'Reardon et al., 2006)); and (ii) affects all regions connected to the stimulation site (Funke and  
104 Benali, 2011; Pell et al., 2011; Tang et al., 2015). However, recent studies have shown  
105 heterogeneity in brain outcomes following repetitive stimulation of non-motor areas. In  
106 particular, high frequency prefrontal rTMS has been found to have opposing effects on reaction  
107 times during a working memory task (Rounis et al., 2006; Esslinger et al., 2014) and lead to  
108 highly variable changes in oscillatory power ((Griskova et al., 2007; Barr et al., 2009; Wozniak-  
109 Kwasniewska et al., 2014), reviewed in (Thut and Pascual-Leone, 2010)). Furthermore, prefrontal  
110 rTMS alters task-based fMRI activity in regions connected with the stimulation site (Rounis et al.,  
111 2006), and may enhance (Halko et al., 2014; Wang et al., 2014) or have no effect on within-  
112 network connectivity (Eldaief et al., 2011). The heterogeneity observed in these studies are in  
113 part due to the inability (i) to localize cortical regions directly stimulated by non-invasive  
114 methods such as rTMS or (ii) to quantify focal downstream effects with fMRI or EEG, which have  
115 poor temporal and spatial resolution, respectively.

116         To study the effects of repetitive stimulation in humans with high spatiotemporal  
117 resolution, we performed cortico-cortical evoked potential (CCEP) mapping before and after  
118 focused repetitive electrical stimulation. CCEP mapping measures causal local and remote  
119 electrophysiological responses with accurate localization of the stimulated region. CCEPs have

120 been utilized to predict the onset of ictal events (David et al., 2008), examine the functional  
121 brain infrastructure (Keller et al., 2011; David et al., 2013; Entz et al., 2014; Keller et al., 2014b),  
122 and causally examine the fronto-parietal (Matsumoto et al., 2011), hippocampal (Kubota et al.,  
123 2013), visual (Keller et al., 2017), and language (Koubeissi et al., 2012) networks.

124 We hypothesized that repetitive electrical stimulation will induce ~~persistent~~ excitability  
125 changes locally and in regions functionally connected to the stimulation site. In accordance, we  
126 demonstrated that using the CCEP, regions susceptible to brain changes could be accurately  
127 predicted with subjects' baseline anatomical and functional proximity profile. Further, we found  
128 that measuring excitability changes within the stimulation period itself can partially track post-  
129 stimulation effects and reveal unique cortical regions exhibiting transient neuronal changes.  
130 These findings contribute to our understanding of the neurophysiological mechanisms  
131 underlying stimulation-induced brain changes.

132

### 133 **Materials and Methods.**

134

135 **Subjects.** Eight patients with medically-intractable epilepsy at North Shore University Hospital (6  
136 female, aged 40.8 years; range 21-57) participated in this study. Patient characteristics are  
137 described in Table 1. All patients provided informed consent as monitored by the local  
138 Institutional Review Board and in accordance with the ethical standards of the Declaration of  
139 Helsinki. The decision to implant, the electrode targets, and the duration of implantation were  
140 made entirely on clinical grounds without reference to this investigation. Patients were  
141 informed that participation in this study would not alter their clinical treatment, and that they  
142 could withdraw at any time without jeopardizing their clinical care.

143

144 **Electrode registration.** Our electrode registration method has been described in detail  
145 previously (Keller et al., 2011; Keller et al., 2013; Groppe et al., 2017). Briefly, in order to localize  
146 each electrode anatomically, subdural electrodes were identified on the post-implantation CT  
147 with BiImagesuite (Duncan et al., 2004), and were coregistered first with the post-implantation  
148 structural MRI and subsequently with the pre-implantation MRI to account for possible brain  
149 shift caused by electrode implantation and surgery (Mehta and Klein, 2010). Following  
150 coregistration, electrodes were snapped to the closest point on the reconstructed pial surface  
151 (Dale et al., 1999) of the pre-implantation MRI in MATLAB (Dykstra et al., 2012). Intraoperative

152 photographs were previously used to corroborate this registration method based on the  
153 identification of major anatomical features. Automated cortical parcellations were used to  
154 relate electrode data to anatomical regions (Fischl et al., 2004).

155

156 **Selection of stimulation sites.** In the first set of experiments, 10Hz stimulation was applied to  
157 electrodes overlying prefrontal regions (S1-4, 2 left, 2 right). This experiment was performed to  
158 answer the question if high-frequency stimulation of the prefrontal cortex leads to excitability  
159 changes in predictable brain regions. In the second set of experiments, 10Hz stimulation was  
160 applied to motor (S5-7) and temporal (S8) cortex regions. This experiment was performed to  
161 determine if results from prefrontal cortex stimulation are consistent with stimulation in other  
162 cortical regions, including the well-studied motor cortex.

163 For the first set of experiments, the preferred stimulation site was within the  
164 dorsolateral prefrontal cortex (DLPFC) in order to mimic the targeting of rTMS for patients with  
165 depression (McClintock et al., 2017) and other neurological and psychiatric disorders. As  
166 electrode placement was determined based on clinical criteria for seizure localization and not  
167 necessarily localized to the DLPFC, the following stepwise algorithm was implemented to select  
168 the stimulation electrodes. If electrodes were located in the DLPFC based on a pre-operative  
169 MRI, then they were selected for target sites. If no electrodes were in the DLPFC, regions in the  
170 frontal cortex in close proximity to the DLPFC and not located in language regions (i.e., inferior  
171 frontal gyrus) were selected. In the second set of experiments, regions outside of prefrontal  
172 cortex were targeted in order to determine the generalizability of results. As most human  
173 plasticity studies are performed in motor cortex, the motor strip (as identified by functional  
174 stimulation mapping), when possible was the stimulation target (S5-S7). In one subject, the  
175 temporal cortex was the stimulation target as there were no electrodes in the prefrontal or  
176 motor cortex (S8).

177

178 **Experimental design and statistical analysis.** For each subject, we obtained pre- and post-  
179 stimulation CCEPs to evaluate the change in cortical excitability as a result of repetitive  
180 stimulation. This was done by applying bipolar electrical stimulation (biphasic pulses at  
181 100us/phase) with a 1s inter-stimulation interval (ISI). This ISI was chosen to allow voltage  
182 deflections to return to baseline after ~500ms and to allow for sufficient trials to be collected in  
183 order to establish a stable pre-stimulation CCEP baseline. A uniform random jitter (+/-200ms)

184 was included in the ISI to avoid potential entrainment effects. Stimulation current was chosen to  
185 match the lowest current that evoked movement during high frequency (50Hz) stimulation  
186 mapping of the motor cortex (i.e. 100% motor threshold). Up to 400 single pulses were applied  
187 to assess the baseline CCEP. To assess for excitability changes during the baseline CCEP  
188 assessment, we computed average CCEP amplitude change from the first half to the last half of  
189 the baseline CCEPs and found no significant differences ( $S1 t = 1.88, p = 0.07$ ;  $S2 t = 1.23, p =$   
190  $0.22, S3 t = 0.59, p = 0.55$ ;  $S4 t = 0.20, p = 0.84$ , two-sample *t*-test). Following treatment,  
191 between 300 and 1300 single pulses, as determined by experimental time allotted, were applied  
192 (biphasic, 1s ISI +/- 200ms jitter) in order to capture the dynamical changes in the CCEP  
193 following stimulation. The number of pre and post stimulation CCEPs is shown in Table 2. The  
194 repetitive stimulation each subject received consisted of 12 minutes application of 10Hz trains  
195 at 100% motor threshold. Each train was 5s (50 pulses / train) followed by 10s rest (15s duty  
196 cycle), resulting in 60 total trains (3000 pulses) applied (Bakker et al., 2015). These parameters  
197 were chosen to closely mimic commonly used rTMS treatment paradigms (Rossi et al., 2009). In  
198 addition to the 10Hz stimulation, 1Hz stimulation was applied for subject 2 with pre and post-  
199 stimulation CCEP assessment, following a washout period of at least 30 minutes. When applied  
200 in a sufficiently long manner, 1Hz stimulation is thought to have opposing electrophysiological  
201 effects when compared to 10Hz, in both healthy participants (reviewed in (Thut and Pascual-  
202 Leone, 2010)) and in patients with depression (reviewed in (O'Reardon et al., 2006)). The  
203 duration of 1Hz stimulation was chosen to match the number of pulses applied in the 10Hz  
204 stimulation. Electrophysiological data was analyzed offline with custom scripts (MATLAB,  
205 Mathworks). Channels with high amplitude noise ( $SD > 500\mu V$ ) were excluded and remaining  
206 channels were notch filtered (60Hz) to remove power line noise. CCEP quantification and  
207 statistical testing is described in the sections below.

208

209 **CCEP Quantification.** CCEP was quantified as detailed previously (Matsumoto et al., 2004;  
210 Matsumoto et al., 2007; Keller et al., 2011b). Briefly, recording data from each channel were  
211 epoched -1000ms to 1500ms centered on the electrical pulse, and baseline corrected to -50ms  
212 to -10ms. Due to amplifier roll-offs, the initial 0-10ms of the response is often contaminated  
213 with stimulation artifact and therefore is discarded from analysis. To increase signal to noise  
214 ratio, 10 consecutive CCEP waveforms were averaged prior to CCEP quantification. CCEPs exhibit  
215 an early sharp response ('A1,' 10-60ms) and a later slow-wave ('A2,' 60-250ms) (Matsumoto et

216 al., 2004; Keller et al., 2011; Matsumoto et al., 2012; Entz et al., 2014; Keller et al., 2014b;  
 217 Groppe et al., 2017). To quantify the CCEP, the area under the curve (AUC), peak-to-peak  
 218 amplitude (pk-pk), peak amplitude, and the latency to peak were calculated for the early A1 (10-  
 219 60ms) and for the late A2 (60-250ms) components of the CCEP. In computing latency, channels  
 220 that have CCEP amplitude lower than 30uV were automatically excluded, as a clear peak was  
 221 difficult to discern. We chose to use pk-pk for our primary analyses as peak amplitude often  
 222 failed to capture the entire biphasic voltage deflection, and AUC was not a direct measure of  
 223 voltage amplitude. Pk-pk amplitude was calculated by finding the difference between maximum  
 224 and minimum voltage amplitudes within the timeframe of each CCEP component. We found  
 225 strong correlation between pre/post stimulation effect size calculated using the early A1  
 226 component between pk-pk amplitude and using other measures of the CCEP ( $r_{pkpk-pk} = 0.619$ ,  
 227  $p < 0.001$ ,  $r_{pkpk-AUC} = 0.554$ ,  $p < 0.001$ ). We also assessed the polarity of CCEP, either positive or  
 228 negative, in order to evaluate its relationship (if any) with potentiation or depression effects.  
 229 Polarity of the CCEP was determined based on the direction of largest voltage deflection within  
 230 the time period of interest.

231

232 **Quantification of CCEP modulation.** To determine which regions undergo significant excitability  
 233 change following the stimulation period, two-sample t-test was performed comparing the pk-pk  
 234 amplitude distribution between the pre-stimulation CCEPs and post-stimulation CCEPs for each  
 235 channel. For each subject, the set of p-values were adjusted to a false discovery rate (FDR) of 5%  
 236 (Yekutieli and Benjamini, 1999). Adjusted p-values were converted to Z-scores using the normal  
 237 inverse cumulative distribution function. Channels with adjusted values below  $q=0.05$  (5% FDR)  
 238 were considered to have been modulated by repetitive stimulation. Finally, to quantify the  
 239 magnitude of change following stimulation, Cohen's D (Cohen) effect size was calculated based  
 240 on the post-stimulation pk-pk amplitude relative to the pre-stimulation baseline. The equation  
 241 for Cohen's D is as follows:

$$d_s = \frac{\bar{X}_1 - \bar{X}_2}{\sqrt{\frac{(n_1 - 1)SD_1^2 + (n_2 - 1)SD_2^2}{n_1 + n_2 - 2}}}$$

Where  $\bar{X}_1$  and  $\bar{X}_2$  are means of tested samples.

The denominator is the pooled standard deviation.

242

243 **Quantification of pre-stimulation cortical characteristics.** Pre-stimulation cortical  
244 characteristics were quantified to determine features that predict cortical regions susceptible to  
245 plasticity following repetitive stimulation. For each channel, we calculated pre-stimulation mean  
246 CCEP amplitude, mean latency, and Euclidean distance between the stimulation site and the  
247 channel of interest. For S1, S5 and S8, whose recording channels were surface electrodes, we  
248 also computed geodesic distance from the stimulation site to the channel of interest. Geodesic  
249 distances and Euclidean distances were highly correlated in the three subjects ( $R^2_{S1} = 0.90$ ,  $R^2_{S5} =$   
250  $0.84$ ,  $R^2_{S7} = 0.92$ ). Although we presented results using exclusively Euclidean distance in this  
251 study, secondary analysis using geodesic distance in these three patients produced similar  
252 findings and did not change our interpretation of the results.

253

254 **Comparison of pre-stimulation features with post-stimulation CCEP changes.** The pre-  
255 stimulation amplitude, latency, and, distance to stimulation site were first compared between  
256 modulated and non-modulated channels. Bar graphs are used to show the spread of the raw  
257 data, including the 95% confidence interval and the standard deviation (Figure 3). Mann-  
258 Whitney U-Test was used to test for differences between modulated and non-modulated  
259 channels for each subject. We performed group analysis by aggregating all single subject data,  
260 normalizing for between subject variations (Cousineau, 2005), and testing for differences  
261 between modulated and non-modulated channels using two-sample t-test. On group analysis,  
262 we found that distance was highly collinear with pre-stimulation amplitude and latency ( $r_{\text{DISTANCE-}}$   
263  $\text{AMPLITUDE} = -0.449$ ,  $p < 0.001$ ,  $r_{\text{DISTANCE-LATENCY}} = 0.700$ ,  $p < 0.001$ ), so distance-constrained analysis was  
264 performed. We repeated single subject and group analysis using only channels between 10-  
265 50mm of the stimulation site. Channels within 10mm of the stimulation site were prone to  
266 volume conduction; conversely, channels further than 50mm away were not modulated in  
267 sufficient quantities to allow for statistical testing. Distance restraints tested in this analysis  
268 included electrodes within 10-25mm, 10-30mm, 10-35mm, 10-40mm, 10-50mm and 20-40mm  
269 of the stimulation site. Results for 10-40mm are shown as this grouping contained the most  
270 balanced ratio of modulated to non-modulated channels (46:151). Analysis using the other  
271 distance restraints yielded similar findings.

272

273 **Support vector machine and multiple linear regression.** Prediction of modulated cortical  
274 regions prior to application of repetitive stimulation would be clinically useful. Therefore, we

275 performed binary classification and regression analyses to address this important question. To  
 276 determine if pre-stimulation amplitude, latency and distance predicted the magnitude of post-  
 277 stimulation excitability changes, we performed step-wise multiple linear regression. The  
 278 predictor variables were log transformed in order to linearize against effect size. Pre-stimulation  
 279 variables were entered into the regression model in the following order: distance, amplitude  
 280 and latency. Distance is used as the primary predictor as it is a more clinically accessible value.  
 281 Regression models were built for each subject and for the aggregate data.

282 In addition to linear regression, we assessed if pre-stimulation variables predicted  
 283 modulated channels using Support Vector Machine (SVM). This approach classifies data by  
 284 creating a hyperplane that separates data with support vectors being data closest to the  
 285 separating hyperplane (Cortes and Vapnik, 1995). Here, SVM was used to classify modulated  
 286 channels from non-modulated channels using pre-stimulation amplitude, latency and distance  
 287 as predictors. For the classification process, a random sample of half of the data was used to  
 288 train the classifier and the other half was used as test data. Receiver operating characteristic  
 289 (ROC) curves were generated from sensitivity/specificity calculations to visualize the SVM  
 290 classification performance. We estimated the prognostic ability of our SVM model to  
 291 discriminate between modulated and non-modulated channels by determining the area under  
 292 the curve (AUC) of the ROC curve. To adjust for over-fitting, we utilized bootstrap sampling to  
 293 control for overly optimistic discriminability. One-thousand random bootstrap samples were  
 294 used to calculate the mean and 95% confidence interval of the AUC of the model. Additionally,  
 295 we calculated accuracy, which is defined as the proportion of all channels correctly classified.  
 296 *Sensitivity* ('hit rate') was computed as the proportion of modulated channels correctly  
 297 classified; *specificity* ('correct rejection rate') was computed as the proportion of non-  
 298 modulated channels correctly classified. The optimal operating point of the ROC curve was  
 299 determined by finding the slope,  $S$ , using:

$$S = \frac{\text{Cost}(P|N) - \text{Cost}(N|N)}{\text{Cost}(N|P) - \text{Cost}(P|P)} * \frac{N}{P}$$

300 where  $\text{Cost}(N|P)$  is the cost of a false negative.  $\text{Cost}(P|N)$  is the cost of a false positive.  $P = \text{True}$   
 301  $\text{Positive} + \text{False Negative}$  and  $N = \text{True Negative} + \text{False Positive}$ . The optimal operating point is  
 302 the intersection between the line with slope  $S$ , y-intercept of 1 and the ROC curve. A random  
 303 predictor was constructed as a set of uniformly distributed random numbers to serve as a  
 304 control.

305

306 **Quantification of the intra-stimulation potential and dynamics.** During stimulation, robust  
307 evoked potentials were observed during the 10-60ms timeframe following each pulse in a train.  
308 We termed this response the intratrain evoked potential (IEP). To quantify IEP, recording data  
309 from the first pulse in each stimulation train was epoched from -100ms to 100ms centered on  
310 the electrical pulse and baseline corrected to -50ms to -10ms (the same baseline used in CCEP  
311 calculation). We limited the analysis to only the first pulse in each stimulation train, as it best  
312 approximates the evoked potential arising from rest. Three consecutive train pulses were  
313 averaged to improve signal-to-noise. IEP amplitude was quantified in the same manner as  
314 describe above for CCEP amplitude.

315 In contrast to pre/post CCEP measurements, IEP represents excitability changes during  
316 stimulation. IEP changes during stimulation were quantified using two methods: (i) Pearson's  
317 correlation coefficient ( $r$ ) between the IEP pk-pk amplitude and train number (ii) the IEP effect  
318 size between the first third and final third of the stimulation trains. Two-sample t-test was  
319 utilized to compare IEPs in the first third and the final third of the stimulation trains.

320

321 **Results.**

322

323 **Repetitive stimulation in the prefrontal cortex induces excitability changes ~~reflecting plasticity~~**  
324 **in humans.** First, we asked if there are measurable cortical excitability changes resulting from  
325 the application of repetitive cortical stimulation by examining the early A1 (10-60ms)  
326 component of the CCEP. This early component was chosen to capture more direct connections  
327 with the stimulation site. Single pulse stimulation to the prefrontal cortex generated robust  
328 CCEPs quantifiable at the single trial level (Fig 1A,B), which were observed at both local and  
329 remote cortical regions (Fig 2B, left panel). We found that 10Hz stimulation elicited both  
330 potentiation (Fig 1C-F) and reduction (Fig 1G-J) in CCEP amplitude that persisted after  
331 completion of the stimulation protocol. In most cases, the CCEP amplitude returned close to  
332 baseline after ~10 minutes (Fig 1H-J, *subject 1; representative electrode; unpaired t-test,  $t_{pre,early}$*   
333 *= 14.454,  $t_{pre,late}$  = 6.067,  $p < .0001$ ); however, at times amplitude changes persisted (Fig 1D-F,  
334 *subject 4; unpaired t-test,  $t_{pre,early}$  = 7.39,  $t_{pre,late}$  = 7.70,  $p < .0001$ ). Across the four subjects*  
335 *undergoing prefrontal stimulation, statistically significant CCEP modulation was observed in at*  
336 *least one cortical region following 10hz stimulation (Fig 2A,B). 10Hz stimulation modulated 11%**

337 of all cortical regions probed (73 modulated / 661 total regions), of which potentiation occurred  
338 in 51% of modulated regions and depression in 49% of regions (Fig 2B, D). Of the regions  
339 modulated, 45% demonstrated sustained (>10 minutes) excitability changes (Fig 2B right panel  
340 and 2C). No regions demonstrated late modulation that did not show early modulation (Fig 2C).  
341 Of regions modulated, 94% were short-range (<3cm from stimulation site) and 6% long-range  
342 (>3cm from stimulation site; Fig 2E). Qualitatively, similar pre-stimulation CCEP amplitude and  
343 effect size maps were observed (Fig 2B, left panel), which are quantified further in subsequent  
344 sections.

345

346 **Modulated regions are anatomically and functionally closer to the stimulation site.** What are  
347 the unique features of modulated regions that make it susceptible to changes following  
348 repetitive stimulation? To address this question, we next explored the relationship between  
349 observed ~~plasticity~~ excitability changes and baseline connectivity profile at each channel. For  
350 each channel we computed the distance from stimulation site, pre-stimulation CCEP amplitude,  
351 and pre-stimulation CCEP latency to peak (Fig 3). Single pulse stimulation was found to elicit  
352 *stronger CCEP amplitude* at modulated regions compared to non-modulated regions (Fig 3A; left  
353 panel:  $F(\text{subject}; 3, 653) = 37.5, p < .0001$ ;  $F(\text{modulation}; 1, 653) = 231.9, p < .0001$ ; right panel;  
354 group mean amplitude<sub>mod</sub> = 210uV, amplitude<sub>non-mod</sub> = 52uV,  $t = 4.2, p = .0059$ ; unpaired t-test),  
355 and post-hoc testing demonstrated this effect on a single subject basis (Fig 3A Mann-Whitney U  
356 test;  $p < .001$ ). Additionally, modulated regions exhibited *shorter CCEP latency* compared to non-  
357 modulated regions (Fig 3B; left panel:  $F(\text{subject}; 3, 534) = 10.7, p < .0001$ ;  $F(\text{modulation}; 1, 534)$   
358  $= 93.2, p < .0001$ ; right panel; group mean latency<sub>mod</sub> = 22ms, latency<sub>non-mod</sub> = 34ms,  $t = 4.45, p =$   
359  $.0043$ ; unpaired t-test). This effect was significant in 3 out of 4 subjects (Mann-Whitney U test,  
360  $p < .05$ ). Finally, modulated regions were *located closer* to the stimulation site when compared to  
361 non-modulated regions (Fig 3C; left panel: two-factor ANOVA,  $F(\text{subject}; 3, 640) = 20.3, p <$   
362  $.0001$ ;  $F(\text{modulation}; 1, 640) = 154.2, p < .0001$ ; right panel: group mean dist<sub>mod</sub> = 28mm, dist<sub>non-</sub>  
363 <sub>mod</sub> = 63mm,  $t = 6.8, p = .0005$ ; unpaired t-test). This was also true at the single subject level (Fig  
364 3C; Mann-Whitney U test;  $p < .001$ ).

365 As distance to stimulation site was highly collinear with pre-stimulation CCEP amplitude  
366 and latency across channels, we compared modulated and non-modulated channels after  
367 constraining channels within a given distance range from the stimulation site (see Methods). For  
368 each of the constrained distance ranges analyzed, stronger CCEP amplitudes were observed in

369 modulated regions compared to non-modulated regions (Fig 3D; 2-way ANOVA;  $F(\text{modulation}$   
 370  $\text{effect}; 1,228)_{1.5\text{cm}} = 52.9$ ,  $F(1,183)_{1.4\text{cm}} = 48.2$ ,  $F(1,82)_{1.3\text{cm}} = 15$ ,  $F(1,57)_{1.2.5\text{cm}} = 13.5$ ,  $F(1,150)_{2.4\text{cm}} =$   
 371  $4.3$ ; all  $p < .01$ ; group unpaired  $t$ -test  $t_{1.4\text{cm}} = 3.95$ ,  $p_{1.4\text{cm}} = 0.007$ ). However, no difference in  
 372 latency was observed in modulated regions when controlling for distance (Fig 3E and Fig S3; 2-  
 373 way ANOVA;  $F(\text{modulation effect}; 1,225)_{1.5\text{cm}} = 2.78$   $p = .09$ ,  $F(1,184)_{1.4\text{cm}} = 2.3$ ,  $p = .12$ ,  $F(1,86)_{1.3\text{cm}} = 0.3$ ,  $p = .8$ ,  $F(1,61)_{1.2.5\text{cm}} = 5.9$ ,  $p = .017$   $F(1,147)_{2.4\text{cm}} = 0.03$ ;  $p = 0.8$ ; group paired  $t$ -test  $t_{1.4\text{cm}} =$   
 374  $0.057$ ,  $p_{1.4\text{cm}} = 0.95$ ).

376

377 **Modulated regions can be predicted by baseline connectivity profiles.** To assess whether pre-  
 378 stimulation connectivity profile can predict the magnitude of plasticity excitability changes  
 379 across different regions of the brain, we performed a multivariate linear regression analyses.  
 380 First, pre-stimulation variables (natural-logarithm of amplitude, latency and 1/distance) were  
 381 linearized against effect size on group analysis ( $r_{\text{amplitude-cohenD}} = 0.427$ ,  $p < 0.001$   $r_{\text{latency-cohenD}} =$   
 382  $0.4511$ ,  $p < 0.001$ ,  $r_{\text{distance-cohenD}} = 0.510$ , all  $p < 0.001$ ). Similar linear relationships were observed in  
 383 each subject (range:  $r_{\text{amplitude-cohenD}} = 0.212$  to  $0.528$ ,  $r_{\text{latency-cohenD}} = -0.336$  to  $-0.555$ ,  $r_{\text{distance-cohenD}} =$   
 384  $0.582$  to  $0.622$ , all  $p < 0.05$ ). Pre-stimulation variables were entered in the model in a stepwise  
 385 manner to predict the effect size on a given channel following repetitive stimulation (Table 3).  
 386 Channel distance to the stimulation site was used as the baseline predictor upon which pre-  
 387 stimulation CCEP amplitude and latency were subsequently added. The rationale for this was  
 388 that anatomical proximity is a readily accessible parameter whereas CCEP amplitude and latency  
 389 are not. Thus we asked if these functional metrics provided further predictive power on top of  
 390 using distance as a predictor. For subject and group analyses, the final model combining all  
 391 three features was significantly more predictive compared to the distance-only model (Table 3).  
 392 Distance alone as a predictor did account for at least 70% of the final  $R^2$  value in each model. It  
 393 is worth noting that some subjects (S1, S2) demonstrated a >25% improvement in predictive  
 394 power with the addition of functional measurements (CCEP amplitude, latency), whereas others  
 395 (S3, S4) did not. Taken together, adding functional metrics (amplitude and latency) to distance  
 396 measurements can further improve the explanatory power of our models to predict the strength  
 397 of plasticity following stimulation.

398 Next, we constructed a binary classifier to see if pre-stimulation variables can be used to  
 399 correctly identify modulated channels. We obtained model discriminability of >85% in all  
 400 subjects undergoing prefrontal cortex stimulation [S1 (95% CI)=87 (74-94), S2=85 (69-93),

401  $S3=99(93-100)$ ,  $S4=87(71-96)$ ]. Sensitivity ranged from 71% to 90%, specificity from 85% to 95%  
402 (Table 4), and accuracy from 80 to 95% (Fig 4B). The same analysis was performed after pooling  
403 individual data into a single dataset. The group model ( $AUC=89(83-92)$ ,  $Accuracy=80\%$ )  
404 performed similarly to individual subject models. Using the group ROC curve, we outlined four  
405 cut-offs representing different sensitivity and specificity (Table 4), which showed that increasing  
406 model sensitivity corresponded with higher distance threshold, lower amplitude threshold, and  
407 longer latency threshold.

408  
409 ***Effects of stimulation frequency on the direction of excitability change.*** Time constraints  
410 limited the ability to stimulate at multiple frequencies for all subjects, but in one subject (S2),  
411 1Hz stimulation was performed after a 30 minutes washout period from time of the 10Hz  
412 stimulation. Figure 5A illustrates the differential frequency-dependent neuromodulatory effects  
413 in this subject. 10Hz stimulation resulted overall in potentiation at a majority of electrodes,  
414 while 1Hz stimulation elicited suppression. Mean effect size following 10Hz stimulation was  
415 significantly higher than following 1Hz stimulation (Fig 5B,  $n = 141$ ,  $d_{10Hz} = 0.62$ ,  $d_{1Hz} = -0.03$ ,  
416  $t(108) = 8.3$ ,  $p < .001$ , *paired t-test*). Across all electrodes, a significant negative correlation was  
417 observed between effect sizes of 10Hz and 1Hz stimulation (Fig 5C;  $r = -0.34$ ,  $p < .001$ ).

418  
419 ***Repetitive stimulation modulates the early and late components of the CCEP.*** The CCEP is a  
420 complex waveform consisting of multiple voltage deflections lasting up to 500ms (Fig 6A).  
421 Although the early A1 (<60ms) CCEP component reflects more direct cortico-cortical  
422 connections and has been evaluated thus far, whether the later A2 (>60ms) CCEP component  
423 capture similar or different dynamics is unclear. To capture the slow A2 CCEP potential  
424 (Matsumoto et al., 2004; David et al., 2013; Keller et al., 2014a), we quantified peak amplitude  
425 in the 60-250ms timeframe and computed the pre/post stimulation effect sizes. We observed  
426 modulatory effects in the A2 CCEP component, with a smaller proportion (but non-significant) of  
427 regions modulated compared to the A1 CCEP component (Fig 6B-C; regions modulated  
428 (mean $\pm$ SD); A1 =  $19.1\pm 6.8\%$ ; A2 =  $5.6\pm 3.1\%$ ;  $t(3) = 1.76$ ,  $p = 0.17$ ; *paired t-test*). Excitability  
429 changes in both A1 and A2 CCEP components were observed in overlapping cortical regions in  
430 S1 and S4 (Fig 6B). S2 did not demonstrate significant change in the A2 CCEP component  
431 whereas S3 exhibited excitability change in the A2 CCEP component at a new cortical area  
432 (across a slightly distributed set of cortical areas) (intra-subject mean  $R_{A1, A2}=0.32$ ). In summary,

433 changes in excitability can be observed in the late component of the CCEP and appear to occur  
434 in a lower proportion of the cortex than the early CCEP component.

435

436 ***Intra-stimulation dynamics partially reflect post-stimulation excitability changes.*** To further  
437 understand the dynamics of excitability changes, we quantified the voltage deflections evoked  
438 by each pulse within a stimulation train. We found that intra-stimulation evoked potentials  
439 (IEPs) can be observed and quantified on a single trial level (Fig 7A). At an exemplar site (Fig 7A-  
440 B, the same site in Fig 1G-J), IEPs decreased linearly over time as the number of stimulation  
441 trains increased. As expected, we observed that the amplitude of the last IEP in the stimulation  
442 period is approximately equal to the amplitude of the first post-stimulation CCEP. To visualize  
443 the IEP waveform, we divided the stimulation period into three equal segments and plotted the  
444 average voltage deflections (Fig 7B). The IEP occurs mostly within 20-50ms, with amplitude  
445 peaking around ~25ms (Fig 7C). Over time during the stimulation period, we observed a  
446 reduction in IEP amplitude (Fig 7D). To examine how intra-stimulation dynamics correlate with  
447 pre/post testing, we plotted IEP and CCEP effect sizes on brain surfaces (Fig 7E). S1 and S3  
448 showed similar direction and spatial localization of channels undergoing IEP or CCEP change,  
449 whereas this was not observed in S2 and S4. Specifically, S2 showed IEP amplitude suppression  
450 in cortical regions distinct from where CCEP amplitude potentiation was observed on pre/post  
451 testing. Similarly, for S4, IEP changes occurred contralateral to where CCEP ~~plasticity~~ dynamics  
452 were observed. These relationships are further quantified in scatterplots, which showed positive  
453 correlation between IEP and CCEP effect sizes in S1 and S3 but no significant correlation in S2  
454 and S4 (Fig 7E). Furthermore, we showed that on average, channels with potentiation of IEP  
455 amplitude corresponded with potentiation of CCEP amplitude (Fig 7F; *two-factor ANOVA*,  $F$   
456 (*subject*; 3,653) = 64.9,  $p < .0001$ ;  $F$  (*IEP*; 1, 653) = 26.5,  $p < .0001$ ; *right panel*:  $t = 3.3$ ,  $p = 0.016$ ;  
457 *unpaired t-test*). A significant difference in CCEP amplitude between channels showing IEP  
458 suppression or IEP potentiation was observed in S1, S2, and S3 (Fig 7F; *Mann-Whitney U-test*,  
459  $p < 0.05$ ).

460

461 ***Repetitive motor and temporal stimulation also produces changes that outlast the stimulation***  
462 ***period in predictable brain regions.*** To test the generalizability of our findings, we examined the  
463 effect of repetitive 10Hz stimulation in motor and temporal cortices in a separate cohort. In all  
464 four of these subjects, CCEP amplitudes were suppressed following 10Hz stimulation (Fig 8).

465 Regions with high CCEP amplitude roughly corresponded to regions that were modulated  
466 following stimulation. In subjects receiving stimulation to the motor (S5-S7) and temporal  
467 cortex, the suppression of CCEP amplitude was observed local to the stimulation site. For both  
468 motor and temporal cortex stimulation, CCEP amplitude suppression was prominent  
469 immediately following stimulation, with a gradual return to baseline after approximately 10  
470 minutes. The exception to this was S7, who did not show immediate CCEP amplitude  
471 suppression. Due to low number of channels modulated following motor stimulation, we pooled  
472 the data from S5-7 for further analysis. We found that modulated channels demonstrate higher  
473 pre-stimulation CCEP amplitude and were closer to the stimulation site than the non-modulated  
474 regions (Fig 9A-B; *Mann-Whitney U test*;  $p < .001$ ). However, modulated channels did not differ in  
475 pre-stimulation CCEP latency compared to non-modulated channels with motor cortex  
476 stimulation (Fig 9C; *Mann-Whitney U-test*;  $p_{motor} = 0.10$ ), whereas modulated channels after  
477 temporal cortex stimulation had higher pre-stimulation CCEP latency (Fig 9C;  $p_{temporal} < .001$ ).  
478 Similar to prefrontal stimulation findings, adding pre-stimulation CCEP amplitude and latency to  
479 distance in a regression model led to improved adjusted  $R^2$  in explaining the strength of  
480 ~~plasticity~~ excitability change following motor and temporal cortex stimulation (Table 3). Three  
481 subjects (S5-7) demonstrated a >25% increase in adjusted  $R^2$  by incorporating functional  
482 baseline features. A binary classifier incorporating these pre-stimulation variables predicted  
483 regions of modulation with 88% accuracy, 89 (77-96) AUC in patients with motor cortex  
484 stimulation and 72% accuracy, 81 (74-87) AUC in patients with temporal cortex stimulation (Fig  
485 9D). A range of sensitivity and specificity values are outlined for these patients as well (Table 4).

#### 486 487 **Discussion.**

488 **Summary of findings.** We investigated the neurophysiological effects of repetitive electrical  
489 stimulation in humans in a manner thought to induce potentiation when applied non-invasively.  
490 Prefrontal stimulation (N=4) induced both local and distal excitability changes in a subset (12%)  
491 of regions measured, with some consistent predictive characteristics. Stimulation elicited  
492 ~~plasticity~~ excitability change 1] in regions anatomically closer and functionally connected to the  
493 stimulation site, 2] in the form of potentiation and depression, and 3] in both early and late  
494 CCEP components. We demonstrate high accuracy (72-95%) and discriminability (81-99%) in  
495 predicting regions of ~~plasticity~~ excitability changes using individual subjects' pre-stimulation  
496 connectivity profile, and show that adding pre-stimulation functional measures after accounting

497 for distance to the stimulation site significantly improved model performance. We found similar  
498 results in an independent dataset of four patients undergoing either motor or temporal cortex  
499 stimulation. Lastly, intra-stimulation evoked potentials exhibited partial consistency with the  
500 findings on pre/post CCEP testing, and revealed unique cortical regions undergoing short-term  
501 excitability changes.

502

503 ***Mechanism underlying cortical excitability changes.*** This work provides further evidence that  
504 10Hz stimulation in human non-motor cortex produces heterogeneous ~~plasticity~~ excitability  
505 changes that are likely subject dependent. Early neuroimaging studies demonstrated that high  
506 frequency prefrontal rTMS increased regional cerebral blood (rCBF) locally but with variable  
507 effects at other cortical regions (Speer et al., 2000; Catafau et al., 2001; Nahas et al., 2001).  
508 Following a single session of repetitive stimulation, we observed persistent CCEP changes. These  
509 effects lasted for at least 10 minutes in all subjects, and in one subject who underwent both 1Hz  
510 and 10Hz stimulation, opposing directional effects were observed. These findings are in line with  
511 previous rTMS studies in healthy participants using EEG or fMRI (reviewed in (Thut and Pascual-  
512 Leone, 2010)), suggesting potential generalizability to non-invasive stimulation.

513         Additionally, we found differences in the proportion of sites undergoing suppression or  
514 potentiation. Motor cortex stimulation suppressed the early A1 in all three patients, consistent  
515 with motor rTMS eliciting unidirectional effects in the MEP (Ziemann et al., 2008) and EEG  
516 potentials (Esser et al., 2006; Holler et al., 2006). However, the suppression of the A1  
517 component, which likely represents depression of cortical connections (Dudek and Bear, 1992;  
518 Kirkwood and Bear, 1994), is in contrast with non-invasive findings. At this time, it is unclear if  
519 the difference between this suppression and the commonly reported potentiation in non-  
520 invasive studies stem from the nature of the perturbation (electrical vs magnetic), measurement  
521 technique (CCEP vs TMS-evoked potential), or population (epilepsy vs healthy). Furthermore,  
522 prefrontal stimulation elicited A1 potentiation (N=2) and suppression (N=2). Given the across-  
523 subject consistency following motor cortex stimulation, the directional variability observed here  
524 is thus less likely due to differences in stimulation or recording sites but more so true variability  
525 in the manner that prefrontal cortex responds to repetitive stimulation. These results suggest  
526 high frequency stimulation does not consistently increase cortical excitability and add to the  
527 existing evidence showing inter-individual variability in cortical responsiveness to non-invasive  
528 stimulation (Cardenas-Morales et al., 2014; Lopez-Alonso et al., 2014; Nettekoven et al., 2015).

529           With respect to the cortical location of excitability changes, we were able to identify  
530 modulated regions with 85% accuracy using pre-stimulation network features. This indicates  
531 roughly 15% of modulated regions were either not induced within the stimulation network  
532 (false positives) or were induced outside of it (false negatives), suggesting that stimulation  
533 effects are not distributed to all nodes within the network, nor are they confined to the  
534 network. Finally, for all stimulated regions, excitability changes tended to occur in one direction  
535 for a given patient. Although pre-stimulation features could not explain the direction of  
536 observed changes, the direction of intra-stimulation changes was informative.

537           Finally, we note that the transient changes in evoked potentials we have observed can  
538 be understood as a form of functional plasticity -- however, further investigation is necessary to  
539 determine whether and how this functional plasticity relates to cellular and synaptic change.

540

541 **Intra-stimulation excitability dynamics.** For the first time, we demonstrate that intra-  
542 stimulation changes measured intracranially can capture stimulation-induced neuronal  
543 dynamics. Across brain regions, the direction of IEP changes corresponded with the direction of  
544 CCEP changes. In particular, significant changes in IEP reflected ~~plasticity~~ excitability change on  
545 pre/post CCEP testing in two out of four subjects. These discrepancies between subjects may be  
546 due to low signal-to-noise in the IEP signal or represent brain regions that change after  
547 stimulation as a result of intra-stimulation changes in connected regions. While intriguing, much  
548 work is needed regarding understanding the dynamics of plasticity induction before translating  
549 into treatment. Only a few studies have addressed these questions non-invasively, and have  
550 showed variable intra-stimulation cortical excitability dynamics (Hamidi et al., 2010; Veniero et  
551 al., 2010). Further work is required to understand how intra-stimulation cortical dynamics is  
552 related to long-lasting brain changes, which can lead to the development of novel stimulation  
553 therapies that maximize brain changes.

554

555 **Towards optimization of non-invasive brain stimulation.** Translating these results to non-  
556 invasive stimulation could provide principles for personalizing therapeutic stimulation.  
557 Currently, rTMS treatment for depression and other neuropsychiatric disorders apply a 'one-  
558 size-fits-all' approach to target the left DLPFC by localizing motor cortex and moving anteriorly  
559 5cm (Reid et al., 1998). However, this protocol does not account for variations in individual  
560 anatomy and functional connectivity. In fact, neuronavigational efforts that target the

561 stimulation site based on the subject's anatomy (Fitzgerald et al., 2009) or functional  
562 connections (Fox et al., 2012) suggest improved outcomes. Furthermore, Nettekoven  
563 (Nettekoven et al., 2015) recently showed responsiveness to rTMS was partially dependent on  
564 the pre-stimulation network connectivity of the stimulated site. Our work demonstrates that by  
565 using pre-stimulation network properties (distance, CCEP amplitude and latency), we could  
566 predict (with 48% of variance explained) both the strength of plasticity and regions of significant  
567 modulation. Thus, based on the downstream circuit of interest (i.e. the fronto-parietal or default  
568 mode network in depression), one could model the effect of repetitive stimulation from pre-  
569 treatment characteristics and modify the stimulation site to target the network of interest.  
570 Multiple obstacles need to be overcome prior to implementation (see Limitations), but this  
571 approach represents an exciting path to personalized non-invasive neuromodulation.

572

573 **Limitations and future directions.** While this work improves our understanding of human  
574 cortical plasticity, several important considerations limit the interpretation and generalizability  
575 of this work. First, as is true for all work in the epilepsy surgery population, access to direct  
576 recordings in awake humans do not come without cost, as generalizing from these patients is  
577 difficult. Our sample size is small, patients were heterogeneous with respect to seizure onset  
578 and implant type, and the seizure focus and early epileptic spread regions can affect local and  
579 global brain excitability and connectivity (Pereira et al., 2010; Bettus et al., 2011; Pittau et al.,  
580 2012). Therefore, findings from this study may be skewed based on their proximity to the  
581 epileptic network. A larger follow-up study comparing the direction and duration of plasticity  
582 effects to the proximity and severity of the epileptic network is warranted. Second, we could not  
583 exclude the possibility of homeostatic plasticity in this study. Previous work showed that a  
584 priming stimulation period before repetitive stimulation modifies the effects of brain  
585 stimulation (Siebner et al., 2004; Potter-Nerger et al., 2009). Specifically, preconditioning with  
586 transcranial direct current (tDCS) can change the direction of the rTMS-induced changes in the  
587 motor cortex (Lang et al., 2004; Siebner et al., 2004) and to a lesser extent in the visual cortex  
588 (Lang et al., 2007). This homeostatic mechanism is postulated to stabilize neuronal activity when  
589 plasticity-inducing interventions are administered in close sequence (reviewed in (Karabanov et  
590 al., 2015)). The excitability effects of 10Hz stimulation observed in our study could be modulated  
591 by the pre-stimulation CCEP test pulses, thus limiting our conclusions regarding the intrinsic  
592 effects of 10Hz stimulation. Third, due the absence of sham control, plasticity may be affected

593 by subject fatigue during stimulation. Studies measuring TMS-evoked potentials and CCEP  
594 demonstrated marked cortical excitability changes during the transition to sleep (Massimini et  
595 al., 2005; Pigorini et al., 2015). Our subjects were monitored to ensure they did not fall asleep  
596 during stimulation, though it remains possible subtle fatigue may alter cortical excitability. In the  
597 study by Pigorini et al., CCEPs exhibited a change in waveform morphology during sleep  
598 compared to wakefulness, which was not observed in our analysis. Fourth, time constraints  
599 within this surgical population (typically ~1 hour per subject) limit the ability to perform control  
600 experiments including additional 1Hz stimulation, stimulation across multiple days, and  
601 stimulation of sites both within and outside the network of interest. Fifth, the spatial spread and  
602 depth penetration induced by stimulation has been described previously, but was not  
603 performed in this study ((Butson et al., 2006; Xie et al., 2006), reviewed in (Yousif and Liu,  
604 2007)). Future work applying electrical field modeling would improve the interpretability of  
605 stimulation effects. Lastly, measuring resting state or task-induced coherence could increase  
606 interpretability and may provide additional information on predicting long-term plasticity  
607 changes. Additionally, the behavioral effects stimulation was not measured in our study and  
608 warrants further investigation with mood self-reports (Wozniak-Kwasniewska et al., 2014) and  
609 other behavioral and state-dependent measures that target the DLPFC.

610  
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619

620 **Figures and legends.**

621 **Tables.**

622 **Table 1 – Participant characteristics, electrode coverage, stimulation site and parameters**

ID	Age	Gender	Handedness	Seizure focus	Implant Type	Stim Location
S1	43	F	R	Left parasagittal	Grid/strips	Left frontal
S2	50	F	R	Right OFC / amygdala	Right sEEG	Right frontal
S3	48	F	R	Right mesial temporal	Bilateral sEEG	Right frontal
S4	46	M	R	Right posterior temporal	Bilateral sEEG	Left frontal
S5	21	M	R	Right mesial temporal	Grid/strips	Right motor
S6	57	F	L	Left mesial temporal	Left sEEG	Left motor
S7	31	F	R	Right STG / mesial temporal	Right sEEG	Right motor
S8	30	F	R	Left mesial temporal	Grid/strips	Left temporal

623 sEEG = stereotactic EEG; OFC = orbitofrontal cortex; STG = superior temporal gyrus

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629 **Table 2 – Participant characteristics, electrode coverage, stimulation site and parameters**

ID	Type of stimulation	Lobe stimulated	MNI coordinates	Current	Number of recording electrodes	Number of pre-stimulation CCEPs	Number of post-stimulation CCEPs	Duration of stimulation (number of pulses / train, number of cycles)	Percent of modulated channels in early time window	Percent of modulated channels in late time window
S1	10Hz	Left Prefrontal	-39, -5, -9.5	8mA	109	190	783	50 pulses/train 60 trains	25	13
S2	10Hz +1Hz	Right Prefrontal	5, 50, -14	4mA	110	200	399	50 pulses/train 60 trains	36	1
S3	10Hz	Right Prefrontal	27, 20, 41	4mA	219	358	997	50 pulses/train 60 trains	6	4
S4	10Hz	Left Prefrontal	-44, 34, 31	6mA	224	197	1161	50 pulses/train 60 trains	10	6
S5	10Hz	Right Motor	34, -21, 72	6mA	175	116	822	50 pulses/train 60 trains	7	2
S6	10Hz	Left Motor	-43, -23, 49	4mA	139	141	1273	50 pulses/train 60 trains	4	0
S7	10Hz	Right Motor	41, -22, 77	1mA	199	147	343	50 pulses/train 60 trains	0	2
S8	10Hz	Left Temporal	-37, 23, -31	7mA	190	230	860	50 pulses/train 60 trains	49	30

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Table 3 – Multiple Linear Regression Analysis for Variables Predicting Post-Stimulation Effect Size

Predictor	S1 Prefrontal (N = 108)		S2 Prefrontal (N = 109)		S3 Prefrontal (N = 208)		S4 Prefrontal (N = 223)		S1-4 Prefrontal (N = 648)		S5-7 Motor (N = 513)		S8 Temporal (N = 190)	
	$\beta$	SE( $\beta$ )	$\beta$	SE( $\beta$ )	$\beta$	SE( $\beta$ )	$\beta$	SE( $\beta$ )						
Distance	0.245	0.179	0.128	0.092	0.226	0.057	0.456	0.061	0.279	0.045	0.195	0.040	0.133	0.152
Amplitude	0.669	0.139	0.151	0.084	0.067	0.050	0.123	0.061	0.105	0.035	0.167	0.032	0.853	0.092
Latency	-0.383	0.358	-0.374	0.180	-0.248	0.109	0.359	0.104	-0.258	0.093	0.150	0.069	0.781	0.265
<u>R<sup>2</sup> for each stepwise model</u>														
Distance	0.339		0.185		0.374		0.387		0.260		0.135		0.107	
+Amplitude ( $\chi^2$ for $\Delta$ )	0.480 (26.0***)		0.235 (6.8**)		0.386 (4.3*)		0.398 (4.1*)		0.277 (14.7***)		0.173 (23.6***)		0.471 (98.8***)	
+Latency ( $\chi^2$ for $\Delta$ )	0.486 (1.2)		0.265 (4.4*)		0.402 (5.3*)		0.430 (11.9***)		0.285 (7.8**)		0.179 (4.8*)		0.492 (8.7**)	
F for Final Model	32.8***		12.6***		45.6***		55.0***		85.8***		37.3***		61.1***	

Note: all predictors are log transformed to base e. 1/Distance is used.

\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001

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**Table 4 – SVM model sensitivity and specificity for optimal predictor cut-offs**

	<b>Sensitivity</b>	<b>Specificity</b>	<b>Distance Threshold (mm)</b>	<b>Amplitude Threshold (uV)</b>	<b>Latency Threshold (ms)</b>
<u>Model: Distance + Amplitude + Latency</u>					
S1 Prefrontal	0.84	0.85	82	56	31
S2 Prefrontal	0.71	0.90	20	106	23
S3 Prefrontal	0.90	0.95	24	106	27
S4 Prefrontal	0.76	0.95	31	43	23
S1-4 Prefrontal	0.60	0.95	29	566	13
S5-7 Motor	0.67	0.95	40	68	42
S8 Temporal	0.54	0.90	47	92	58

<b>Distance Threshold (mm)</b>	<b>Amplitude Threshold (uV)</b>	<b>Latency Threshold (ms)</b>	<b>Sensitivity</b>	<b>Specificity</b>
<u>S1-4 Prefrontal Cortex: Distance + Amplitude + Latency</u>				
89	19	48	100	15
42	35	36	84	70
29	566	13	60	95
7	310	20	14	100
<u>S5-7 Motor Cortex: Distance + Amplitude + Latency</u>				
67	29	45	100	65
40	68	42	67	95
11	560	34	20	100
<u>S8 Temporal Cortex: Distance + Amplitude + Latency</u>				
68	45	49	98	20
47	92	58	54	90

648

649

650 **Figure 1 – Repetitive stimulation elicited changes in the cortico-cortical evoked potentials**  
 651 **(CCEPs) that outlasted the stimulation by at least five minutes.** A) Schematic showing  
 652 experimental setup. Pre- and post-stimulation CCEPs are used to probe cortical excitability and  
 653 connectivity changes due to stimulation protocol. B) Example of two consecutive CCEPs. Gray  
 654 region indicates time window used to quantify peak-to-peak amplitude represented by vertical  
 655 red line. Traces are taken from recording site in C. C) Reconstructed CT-MRI of subdural  
 656 electrodes located on the cortical surface. Lightning bolt denotes stimulation site while circle  
 657 represents exemplar recording site. D) Scatterplot of CCEP amplitude before and after 10Hz  
 658 stimulation at recording electrode in C. Amplitude is expressed as the ratio of post- vs pre-  
 659 stimulation baseline. Each data point (+/- SE bars) represents ten consecutive CCEPs. Blue  
 660 regions represent pre-stimulation time periods, while red and green regions represent the early  
 661 (0-3min) and late (7-10min) post-stimulation time periods, respectively. E) Mean CCEP  
 662 waveforms for each time period illustrated in D. Shaded regions represent SE (n = 100 trials /  
 663 mean CCEP). F) Quantification of CCEPs following 10Hz stimulation. Post-stimulation  
 664 distributions (red and green bars) are compared to pre-stimulation (blue) data. *Wilcoxon*  
 665 *ranksum test*, \*\*\* $p < .001$  after correction for multiple comparisons. G-J) Same as C-F but for  
 666 another subject demonstrating potentiation effects. Note the decrease in CCEP amplitude  
 667 following 10Hz stimulation at this recording site remote to stimulation site.

668  
 669 **Figure 2 – Cortical excitability changes outlasting stimulation was observed in all subjects and**  
 670 **differed with respect to the direction of change.** A) Pre-operative MRI co-registered with post-  
 671 operative CT showing intracranial electrodes and stimulation site (arrow). B) Single subject brain  
 672 plots represent pre-stimulation CCEP and post-stimulation (early and late) change in CCEP.  
 673 Colors of each electrode represent regions that demonstrated positive (warm colors) or negative  
 674 (colder colors) CCEP effect size due to stimulation. Brain plots were thresholded based on 5%  
 675 FDR significance level. Electrode size represents z-score relative to a normal distribution (see  
 676 legend). C-E) Group summary quantifying excitability change C) duration, D) direction, E) and the  
 677 effect of distance.

678  
 679 **Figure 3 – Modulated regions were anatomically and functionally closer to stimulation site.** A-  
 680 C) Boxplots showing the single subject relationship of modulation and pre-stimulation A)  
 681 amplitude, B) latency, and C) distance. Left panel: example of how amplitude and latency were

682 quantified. Right panel: group results derived from single subject analysis. D-E) Distance-  
683 controlled relationship of modulation and amplitude and latency. Top: Example of effect size  
684 with transparent outline of distance-constrained analysis. Note that amplitude was stronger in  
685 modulated regions after correcting for distance, but latency no longer demonstrates a statistical  
686 effect.

687

688 **Figure 4 – Anatomical and functional connectivity predicted location of plasticity changes**  
689 **excitability effects.** A) Training and support vector data. Both features are log-normalized prior  
690 to classifier training and testing. The hyperplane line separates the modulated and non-  
691 modulated data. Predictors were standardized to a mean of 0 and SD of 1. B) Single subject and  
692 group receiver operating curve (ROC) using pre-stimulation features to predict regions  
693 undergoing excitability changes. Accuracy of classifier is noted in the legend. Diagonal line  
694 represents chance.

695

696 **Figure 5 – The direction of excitability change differed for 1Hz and 10Hz repetitive stimulation.**

697 A) Effect size maps for participant2 following 10Hz and 1Hz stimulation. Colors represent  
698 strength of effect size change. Insert: CCEPs pre/post stimulation from electrode in A denoted  
699 with arrows. B) Mean effect sizes following 10Hz and 1Hz stimulation. \*\*\* $p < .001$ , paired  $t$ -test.  
700 C) Relationship of 1Hz and 10Hz effect sizes for all electrodes.

701

702

703 **Figure 6 – Excitability changes were observed more often in earlier than later CCEP**

704 **components.** A) Example CCEP waveform before and after repetitive stimulation. Note the early  
705 sharp deflections and later slow potential. B) Effect size plots quantifying CCEP change during  
706 the early ('A1', 10-60ms) and late ('A2', 60-250ms) components of the CCEP. C) Single subject  
707 comparison between CCEP changes in the early and late CCEP components.

708

709 **Figure 7 – Intra-stimulation evoked potential (IEP) dynamics partially reflect CCEP changes**

710 **observed following stimulation.** A) Top panel: schematic of temporal relationship of CCEP and  
711 IEP. Bottom pane: Four consecutive single trial IEPs within a single train of pulses. Gray  
712 background and vertical line denote the time window and peak-to-peak quantification of IEP,  
713 respectively. B) Relationship of CCEP and IEP dynamics at a single electrode. C) IEP waveform

714 traces at beginning, middle, and end of stimulation. D) Quantification of B and C. E) Single  
715 subject effect size maps for IEP and CCEP. Note the similar regions of suppressed IEP and CCEP  
716 both locally and at more remote locations. E) Top: single subject relationship of IEP and CCEP  
717 dynamics. Bottom: relationship of IEP vs CCEP effect size for each subject. Note the weak but  
718 positive correlation between IEP dynamics and pre/post CCEP measures. F) Box plots (left) and  
719 group analysis (right) comparing IEP and CCEP effect size.

720

721 **Figure 8 - Repetitive stimulation of the motor and temporal cortex also elicit CCEP changes**  
722 **outlasting the stimulation.** Brain plots showing topography of pre-stimulation CCEP amplitude  
723 and post-stimulation (early and late) change in CCEPs in subjects undergoing motor cortex  
724 stimulation (n = 3) and temporal cortex stimulation (n = 1). Colors of each electrode for the brain  
725 plots show pre-stimulation CCEP as high (red colors) or low (green colors) and post-stimulation  
726 effect sizes as positive (warm colors) or negative (colder colors) effect sizes. Left panel shows  
727 pre-operative MRI co-registered with post-operative CT (stimulation site denoted by arrow).  
728 Electrodes showing effect sizes were thresholded using 5% FDR correction for multiple  
729 comparisons, with grey electrodes showing channels with non-significant effect sizes. Electrode  
730 size represent magnitude of z-score relative to a normal distribution (see legend). Insert: Mean  
731 CCEP waveforms for exemplar electrode denoted with white arrow. Shaded regions represent  
732 SE. Scale represents 100uV and 20ms.

733

734 **Figure 9 - Anatomical and functional connectivity predict modulated regions in both motor**  
735 **and temporal stimulation.** A-C) Boxplots showing relationship between whether an electrode is  
736 modulated and its pre-stimulation parameters A) amplitude, B) distance and C) latency for  
737 motor cortex stimulation (n = 3) and temporal cortex stimulation (n = 1). Data for the 3 patients  
738 with motor cortex stimulation were pooled prior to analysis. D) ROC using pre-stimulation  
739 features to predict regions undergoing excitability changes following motor cortex stimulation  
740 or temporal cortex stimulation. Diagonal line represents chance.

741

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744 **References.**

745

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