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Induction and quantification of excitability changes in human cortical networks

Corey J. Keller^{1,2,3,4,5}, Yuhao Huang², Jose L. Herrero¹, Maria Fini¹, Victor Du¹, Fred A. Lado^{4,6}, Christopher J. Honey⁷ and Ashesh D. Mehta¹

¹Department of Neurosurgery

²Department of Psychiatry and Behavioral Sciences

³Stanford Neuroscience Institute, Stanford University Medical Center, Stanford, CA

⁴Departments of Neuroscience and Neurology, Albert Einstein College of Medicine, Bronx, NY

⁵Veterans Affairs Palo Alto Healthcare System, and the Sierra Pacific Mental Illness, Research, Education, and Clinical Center (MIRECC), Palo Alto, CA, 94394, USA

⁶Neurology, Hofstra Northwell School of Medicine, and Feinstein Institute for Medical Research, Manhasset, NY

⁷Department of Psychological and Brain Sciences, Johns Hopkins University, Baltimore, MD

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Correspondence should be addressed to To whom correspondence should be addressed: Corey Keller, MD, PhD, Phone: (802) 578-6292, Email: ckeller1@stanford.edu

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7 Corey J. Keller^{1,2,3,4,5,*⁶}, Yuhao Huang^{2,*}, Jose L. Herrero¹, Maria Fini¹, Victor Du¹,
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9
10
11 Department of ¹Neurosurgery and ⁶Neurology, Hofstra Northwell School of Medicine, and
12 Feinstein Institute for Medical Research, Manhasset, NY
13
14 ²Department of Psychiatry and Behavioral Sciences and ³Stanford Neuroscience Institute,
15 Stanford University Medical Center, Stanford, CA
16
17 ⁵Veterans Affairs Palo Alto Healthcare System, and the Sierra Pacific Mental Illness, Research,
18 Education, and Clinical Center (MIRECC), Palo Alto, CA, 94394, USA
19
20 ⁴Departments of Neuroscience and Neurology, Albert Einstein College of Medicine, Bronx, NY
21
22 ⁷Department of Psychological and Brain Sciences, Johns Hopkins University, Baltimore, MD
23
24
25 ⁶To whom correspondence should be addressed: Corey Keller, MD, PhD
26 Phone: (802) 578-6292
27 Email: ckeller1@stanford.edu
28
29 *These authors contributed equally to this work
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51 **Abstract.** How does human brain stimulation result in lasting changes in cortical excitability?
52 Uncertainty on this question hinders the development of personalized brain stimulation
53 therapies. To characterize how cortical excitability is altered by stimulation, we applied
54 repetitive direct electrical stimulation in eight human subjects (male and female) undergoing
55 intracranial monitoring. We evaluated single-pulse corticocortical evoked potentials (CCEPs)
56 before and after repetitive stimulation across prefrontal (N=4), temporal (N=1), and motor (N=3)
57 cortices. We asked if a single session of repetitive stimulation was sufficient to induce
58 excitability changes across distributed cortical sites. We found a subset of regions at which 10Hz
59 prefrontal repetitive stimulation resulted in both potentiation and suppression of excitability
60 that persisted for at least 10 minutes. We then asked if these dynamics could be modeled by the
61 pre-stimulation connectivity profile of each subject. We found that cortical regions (i)
62 anatomically close to the stimulated site and (ii) exhibiting high-amplitude CCEPs underwent
63 changes in excitability following repetitive stimulation. We demonstrate high accuracy (72-95%)
64 and discriminability (81-99%) in predicting regions exhibiting changes using individual subjects'
65 pre-stimulation connectivity profile, and show that adding pre-stimulation connectivity features
66 significantly improved model performance. The same features predicted regions of modulation
67 following motor and temporal cortices stimulation in an independent dataset. Taken together,
68 baseline connectivity profile can be used to predict regions susceptible to brain changes and
69 provides a basis for personalizing therapeutic stimulation.

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76 **Significance Statement.** Brain stimulation is increasingly used to treat neuropsychiatric
77 disorders by inducing excitability changes at specific brain regions. However, our understanding
78 of how, when, and where these changes are induced is critically lacking. We inferred plasticity in
79 the human brain after applying electrical stimulation to the brain's surface and measuring
80 changes in excitability. We observed excitability changes in regions anatomically and
81 functionally closer to the stimulation site. Those in responsive regions were accurately predicted
82 using a classifier trained on baseline brain network characteristics. Finally, we showed that the
83 excitability changes can potentially be monitored in real-time. These results begin to fill basic
84 gaps in our understanding of stimulation-induced neuronal dynamics in humans and offer
85 pathways to optimize stimulation protocols.
86
87

88 **Introduction.** Extensive preclinical studies have shown that high frequency (~100Hz) electrical
89 brain stimulation increases neuronal excitability (Bliss and Lomo, 1973; Douglas, 1977; Skrede
90 and Malthe-Sorensen, 1981), whereas low frequency (~1Hz) decreases neuronal excitability
91 (Mulkey and Malenka, 1992). In humans, the effect of brain stimulation has been studied within
92 the motor cortex by applying repetitive transcranial magnetic stimulation (rTMS). Following
93 rTMS, excitability changes to this area can be measured with direct motor outputs such as the
94 motor evoked potential (MEP). Consistent with animal literature, high frequency (≥ 5 Hz) rTMS to
95 the motor cortex generally increase MEPs, while low frequency (1Hz) rTMS decrease MEPs
96 (reviewed in (Fitzgerald et al., 2006)). High frequency motor cortex rTMS also modulates
97 downstream regions functionally connected to the stimulation site (Siebner et al., 2000; Takano
98 et al., 2004; Rounis et al., 2005).

99 Despite our understanding of plasticity in animal models and human motor cortex, little
100 is known about the effects of repetitive stimulation in human non-motor cortices. The
101 conventional notion derived from animal slices and human motor cortex remain that high
102 frequency stimulation (i) consistently induces potentiation of cortical excitability (reviewed in
103 (O'Reardon et al., 2006)); and (ii) affects all regions connected to the stimulation site (Funke and
104 Benali, 2011; Pell et al., 2011; Tang et al., 2015). However, recent studies have shown
105 heterogeneity in brain outcomes following repetitive stimulation of non-motor areas. In
106 particular, high frequency prefrontal rTMS has been found to have opposing effects on reaction
107 times during a working memory task (Rounis et al., 2006; Esslinger et al., 2014) and lead to
108 highly variable changes in oscillatory power ((Griskova et al., 2007; Barr et al., 2009; Wozniak-
109 Kwasniewska et al., 2014), reviewed in (Thut and Pascual-Leone, 2010)). Furthermore, prefrontal
110 rTMS alters task-based fMRI activity in regions connected with the stimulation site (Rounis et al.,
111 2006), and may enhance (Halko et al., 2014; Wang et al., 2014) or have no effect on within-
112 network connectivity (Eldaief et al., 2011). The heterogeneity observed in these studies are in
113 part due to the inability (i) to localize cortical regions directly stimulated by non-invasive
114 methods such as rTMS or (ii) to quantify focal downstream effects with fMRI or EEG, which have
115 poor temporal and spatial resolution, respectively.

116 To study the effects of repetitive stimulation in humans with high spatiotemporal
117 resolution, we performed cortico-cortical evoked potential (CCEP) mapping before and after
118 focused repetitive electrical stimulation. CCEP mapping measures causal local and remote
119 electrophysiological responses with accurate localization of the stimulated region. CCEPs have

120 been utilized to predict the onset of ictal events (David et al., 2008), examine the functional
121 brain infrastructure (Keller et al., 2011; David et al., 2013; Entz et al., 2014; Keller et al., 2014b),
122 and causally examine the fronto-parietal (Matsumoto et al., 2011), hippocampal (Kubota et al.,
123 2013), visual (Keller et al., 2017), and language (Koubeissi et al., 2012) networks.

124 We hypothesized that repetitive electrical stimulation will induce ~~persistent~~ excitability
125 changes locally and in regions functionally connected to the stimulation site. In accordance, we
126 demonstrated that using the CCEP, regions susceptible to brain changes could be accurately
127 predicted with subjects' baseline anatomical and functional proximity profile. Further, we found
128 that measuring excitability changes within the stimulation period itself can partially track post-
129 stimulation effects and reveal unique cortical regions exhibiting transient neuronal changes.

130 These findings contribute to our understanding of the neurophysiological mechanisms
131 underlying stimulation-induced brain changes.

132

133 **Materials and Methods.**

134

135 **Subjects.** Eight patients with medically-intractable epilepsy at North Shore University Hospital (6
136 female, aged 40.8 years; range 21-57) participated in this study. Patient characteristics are
137 described in Table 1. All patients provided informed consent as monitored by the local
138 Institutional Review Board and in accordance with the ethical standards of the Declaration of
139 Helsinki. The decision to implant, the electrode targets, and the duration of implantation were
140 made entirely on clinical grounds without reference to this investigation. Patients were
141 informed that participation in this study would not alter their clinical treatment, and that they
142 could withdraw at any time without jeopardizing their clinical care.

143

144 **Electrode registration.** Our electrode registration method has been described in detail
145 previously (Keller et al., 2011; Keller et al., 2013; Groppe et al., 2017). Briefly, in order to localize
146 each electrode anatomically, subdural electrodes were identified on the post-implantation CT
147 with BioImageSuite (Duncan et al., 2004), and were coregistered first with the post-implantation
148 structural MRI and subsequently with the pre-implantation MRI to account for possible brain
149 shift caused by electrode implantation and surgery (Mehta and Klein, 2010). Following
150 coregistration, electrodes were snapped to the closest point on the reconstructed pial surface
151 (Dale et al., 1999) of the pre-implantation MRI in MATLAB (Dykstra et al., 2012). Intraoperative

152 photographs were previously used to corroborate this registration method based on the
153 identification of major anatomical features. Automated cortical parcellations were used to
154 relate electrode data to anatomical regions (Fischl et al., 2004).

155

156 **Selection of stimulation sites.** In the first set of experiments, 10Hz stimulation was applied to
157 electrodes overlying prefrontal regions (S1-4, 2 left, 2 right). This experiment was performed to
158 answer the question if high-frequency stimulation of the prefrontal cortex leads to excitability
159 changes in predictable brain regions. In the second set of experiments, 10Hz stimulation was
160 applied to motor (S5-7) and temporal (S8) cortex regions. This experiment was performed to
161 determine if results from prefrontal cortex stimulation are consistent with stimulation in other
162 cortical regions, including the well-studied motor cortex.

163 For the first set of experiments, the preferred stimulation site was within the
164 dorsolateral prefrontal cortex (DLPFC) in order to mimic the targeting of rTMS for patients with
165 depression (McClintock et al., 2017) and other neurological and psychiatric disorders. As
166 electrode placement was determined based on clinical criteria for seizure localization and not
167 necessarily localized to the DLPFC, the following stepwise algorithm was implemented to select
168 the stimulation electrodes. If electrodes were located in the DLPFC based on a pre-operative
169 MRI, then they were selected for target sites. If no electrodes were in the DLPFC, regions in the
170 frontal cortex in close proximity to the DLPFC and not located in language regions (i.e., inferior
171 frontal gyrus) were selected. In the second set of experiments, regions outside of prefrontal
172 cortex were targeted in order to determine the generalizability of results. As most human
173 plasticity studies are performed in motor cortex, the motor strip (as identified by functional
174 stimulation mapping), when possible was the stimulation target (S5-S7). In one subject, the
175 temporal cortex was the stimulation target as there were no electrodes in the prefrontal or
176 motor cortex (S8).

177

178 **Experimental design and statistical analysis.** For each subject, we obtained pre- and post-
179 stimulation CCEPs to evaluate the change in cortical excitability as a result of repetitive
180 stimulation. This was done by applying bipolar electrical stimulation (biphasic pulses at
181 100us/phase) with a 1s inter-stimulation interval (ISI). This ISI was chosen to allow voltage
182 deflections to return to baseline after ~500ms and to allow for sufficient trials to be collected in
183 order to establish a stable pre-stimulation CCEP baseline. A uniform random jitter (+/-200ms)

184 was included in the ISI to avoid potential entrainment effects. Stimulation current was chosen to
185 match the lowest current that evoked movement during high frequency (50Hz) stimulation
186 mapping of the motor cortex (i.e. 100% motor threshold). Up to 400 single pulses were applied
187 to assess the baseline CCEP. To assess for excitability changes during the baseline CCEP
188 assessment, we computed average CCEP amplitude change from the first half to the last half of
189 the baseline CCEPs and found no significant differences ($S1 t = 1.88, p = 0.07; S2 t = 1.23, p =$
190 $0.22, S3 t = 0.59, p = 0.55; S4 t = 0.20, p = 0.84$, two-sample *t*-test). Following treatment,
191 between 300 and 1300 single pulses, as determined by experimental time allotted, were applied
192 (biphasic, 1s ISI +/- 200ms jitter) in order to capture the dynamical changes in the CCEP
193 following stimulation. The number of pre and post stimulation CCEPs is shown in Table 2. The
194 repetitive stimulation each subject received consisted of 12 minutes application of 10Hz trains
195 at 100% motor threshold. Each train was 5s (50 pulses / train) followed by 10s rest (15s duty
196 cycle), resulting in 60 total trains (3000 pulses) applied (Bakker et al., 2015). These parameters
197 were chosen to closely mimic commonly used rTMS treatment paradigms (Rossi et al., 2009). In
198 addition to the 10Hz stimulation, 1Hz stimulation was applied for subject 2 with pre and post-
199 stimulation CCEP assessment, following a washout period of at least 30 minutes. When applied
200 in a sufficiently long manner, 1Hz stimulation is thought to have opposing electrophysiological
201 effects when compared to 10Hz, in both healthy participants (reviewed in (Thut and Pascual-
202 Leone, 2010)) and in patients with depression (reviewed in (O'Reardon et al., 2006)). The
203 duration of 1Hz stimulation was chosen to match the number of pulses applied in the 10Hz
204 stimulation. Electrophysiological data was analyzed offline with custom scripts (MATLAB,
205 Mathworks). Channels with high amplitude noise ($SD > 500\mu V$) were excluded and remaining
206 channels were notch filtered (60Hz) to remove power line noise. CCEP quantification and
207 statistical testing is described in the sections below.

208

209 **CCEP Quantification.** CCEP was quantified as detailed previously (Matsumoto et al., 2004;
210 Matsumoto et al., 2007; Keller et al., 2011b). Briefly, recording data from each channel were
211 epoched -1000ms to 1500ms centered on the electrical pulse, and baseline corrected to -50ms
212 to -10ms. Due to amplifier roll-offs, the initial 0-10ms of the response is often contaminated
213 with stimulation artifact and therefore is discarded from analysis. To increase signal to noise
214 ratio, 10 consecutive CCEP waveforms were averaged prior to CCEP quantification. CCEPs exhibit
215 an early sharp response ('A1,' 10-60ms) and a later slow-wave ('A2,' 60-250ms) (Matsumoto et

216 al., 2004; Keller et al., 2011; Matsumoto et al., 2012; Entz et al., 2014; Keller et al., 2014b;
 217 Groppe et al., 2017). To quantify the CCEP, the area under the curve (AUC), peak-to-peak
 218 amplitude (pk-pk), peak amplitude, and the latency to peak were calculated for the early A1 (10-
 219 60ms) and for the late A2 (60-250ms) components of the CCEP. In computing latency, channels
 220 that have CCEP amplitude lower than 30uV were automatically excluded, as a clear peak was
 221 difficult to discern. We chose to use pk-pk for our primary analyses as peak amplitude often
 222 failed to capture the entire biphasic voltage deflection, and AUC was not a direct measure of
 223 voltage amplitude. Pk-pk amplitude was calculated by finding the difference between maximum
 224 and minimum voltage amplitudes within the timeframe of each CCEP component. We found
 225 strong correlation between pre/post stimulation effect size calculated using the early A1
 226 component between pk-pk amplitude and using other measures of the CCEP ($r_{PKPK-PK} = 0.619$,
 227 $p<0.001$, $r_{PKPK-AUC} = 0.554$, $p<0.001$). We also assessed the polarity of CCEP, either positive or
 228 negative, in order to evaluate its relationship (if any) with potentiation or depression effects.
 229 Polarity of the CCEP was determined based on the direction of largest voltage deflection within
 230 the time period of interest.

231
 232 **Quantification of CCEP modulation.** To determine which regions undergo significant excitability
 233 change following the stimulation period, two-sample t-test was performed comparing the pk-pk
 234 amplitude distribution between the pre-stimulation CCEPs and post-stimulation CCEPs for each
 235 channel. For each subject, the set of p-values were adjusted to a false discovery rate (FDR) of 5%
 236 (Yekutieli and Benjamini, 1999). Adjusted p-values were converted to Z-scores using the normal
 237 inverse cumulative distribution function. Channels with adjusted values below $q=0.05$ (5% FDR)
 238 were considered to have been modulated by repetitive stimulation. Finally, to quantify the
 239 magnitude of change following stimulation, Cohen's D (Cohen) effect size was calculated based
 240 on the post-stimulation pk-pk amplitude relative to the pre-stimulation baseline. The equation
 241 for Cohen's D is as follows:

$$d_s = \frac{\bar{X}_1 - \bar{X}_2}{\sqrt{\frac{(n_1 - 1)SD_1^2 + (n_2 - 1)SD_2^2}{n_1 + n_2 - 2}}}$$

Where \bar{X}_1 and \bar{X}_2 are means of tested samples.

The denominator is the pooled standard deviation.

242

243 **Quantification of pre-stimulation cortical characteristics.** Pre-stimulation cortical
244 characteristics were quantified to determine features that predict cortical regions susceptible to
245 plasticity following repetitive stimulation. For each channel, we calculated pre-stimulation mean
246 CCEP amplitude, mean latency, and Euclidean distance between the stimulation site and the
247 channel of interest. For S1, S5 and S8, whose recording channels were surface electrodes, we
248 also computed geodesic distance from the stimulation site to the channel of interest. Geodesic
249 distances and Euclidean distances were highly correlated in the three subjects ($R^2_{S1} = 0.90$, $R^2_{S5} =$
250 0.84 , $R^2_{S7} = 0.92$). Although we presented results using exclusively Euclidean distance in this
251 study, secondary analysis using geodesic distance in these three patients produced similar
252 findings and did not change our interpretation of the results.
253

254 **Comparison of pre-stimulation features with post-stimulation CCEP changes.** The pre-
255 stimulation amplitude, latency, and, distance to stimulation site were first compared between
256 modulated and non-modulated channels. Bar graphs are used to show the spread of the raw
257 data, including the 95% confidence interval and the standard deviation (Figure 3). Mann-
258 Whitney U-Test was used to test for differences between modulated and non-modulated
259 channels for each subject. We performed group analysis by aggregating all single subject data,
260 normalizing for between subject variations (Cousineau, 2005), and testing for differences
261 between modulated and non-modulated channels using two-sample t-test. On group analysis,
262 we found that distance was highly collinear with pre-stimulation amplitude and latency ($r_{DISTANCE-}$
263 $AMPLITUDE = -0.449$, $p < 0.001$, $r_{DISTANCE-LATENCY} = 0.700$, $p < 0.001$), so distance-constrained analysis was
264 performed. We repeated single subject and group analysis using only channels between 10-
265 50mm of the stimulation site. Channels within 10mm of the stimulation site were prone to
266 volume conduction; conversely, channels further than 50mm away were not modulated in
267 sufficient quantities to allow for statistical testing. Distance restraints tested in this analysis
268 included electrodes within 10-25mm, 10-30mm, 10-35mm, 10-40mm, 10-50mm and 20-40mm
269 of the stimulation site. Results for 10-40mm are shown as this grouping contained the most
270 balanced ratio of modulated to non-modulated channels (46:151). Analysis using the other
271 distance restraints yielded similar findings.
272

273 **Support vector machine and multiple linear regression.** Prediction of modulated cortical
274 regions prior to application of repetitive stimulation would be clinically useful. Therefore, we

275 performed binary classification and regression analyses to address this important question. To
276 determine if pre-stimulation amplitude, latency and distance predicted the magnitude of post-
277 stimulation excitability changes, we performed step-wise multiple linear regression. The
278 predictor variables were log transformed in order to linearize against effect size. Pre-stimulation
279 variables were entered into the regression model in the following order: distance, amplitude
280 and latency. Distance is used as the primary predictor as it is a more clinically accessible value.
281 Regression models were built for each subject and for the aggregate data.

282 In addition to linear regression, we assessed if pre-stimulation variables predicted
283 modulated channels using Support Vector Machine (SVM). This approach classifies data by
284 creating a hyperplane that separates data with support vectors being data closest to the
285 separating hyperplane (Cortes and Vapnik, 1995). Here, SVM was used to classify modulated
286 channels from non-modulated channels using pre-stimulation amplitude, latency and distance
287 as predictors. For the classification process, a random sample of half of the data was used to
288 train the classifier and the other half was used as test data. Receivers operating characteristic
289 (ROC) curves were generated from sensitivity/specificity calculations to visualize the SVM
290 classification performance. We estimated the prognostic ability of our SVM model to
291 discriminate between modulated and non-modulated channels by determining the area under
292 the curve (AUC) of the ROC curve. To adjust for over-fitting, we utilized bootstrap sampling to
293 control for overly optimistic discriminability. One-thousand random bootstrap samples were
294 used to calculate the mean and 95% confidence interval of the AUC of the model. Additionally,
295 we calculated accuracy, which is defined as the proportion of all channels correctly classified.
296 *Sensitivity* ('hit rate') was computed as the proportion of modulated channels correctly
297 classified; *specificity* ('correct rejection rate') was computed as the proportion of non-
298 modulated channels correctly classified. The optimal operating point of the ROC curve was
299 determined by finding the slope, S, using:

$$S = \frac{Cost(P|N) - Cost(N|N)}{Cost(N|P) - Cost(P|P)} * \frac{N}{P}$$

300 where $Cost(N|P)$ is the cost of a false negative. $Cost(P|N)$ is the cost of a false positive. $P = True$
301 $Positive + False\ Negative$ and $N = True\ Negative + False\ Positive$. The optimal operating point is
302 the intersection between the line with slope S, y-intercept of 1 and the ROC curve. A random
303 predictor was constructed as a set of uniformly distributed random numbers to serve as a
304 control.

305

306 **Quantification of the intra-stimulation potential and dynamics.** During stimulation, robust
307 evoked potentials were observed during the 10-60ms timeframe following each pulse in a train.
308 We termed this response the intratrain evoked potential (IEP). To quantify IEP, recording data
309 from the first pulse in each stimulation train was epoched from -100ms to 100ms centered on
310 the electrical pulse and baseline corrected to -50ms to -10ms (the same baseline used in CCEP
311 calculation). We limited the analysis to only the first pulse in each stimulation train, as it best
312 approximates the evoked potential arising from rest. Three consecutive train pulses were
313 averaged to improve signal-to-noise. IEP amplitude was quantified in the same manner as
314 describe above for CCEP amplitude.

315 In contrast to pre/post CCEP measurements, IEP represents excitability changes during
316 stimulation. IEP changes during stimulation were quantified using two methods: (i) Pearson's
317 correlation coefficient (r) between the IEP pk-pk amplitude and train number (ii) the IEP effect
318 size between the first third and final third of the stimulation trains. Two-sample t-test was
319 utilized to compare IEPs in the first third and the final third of the stimulation trains.
320

321 **Results.**

322

323 ***Repetitive stimulation in the prefrontal cortex induces excitability changes reflecting plasticity
in humans.*** First, we asked if there are measurable cortical excitability changes resulting from
325 the application of repetitive cortical stimulation by examining the early A1 (10-60ms)
326 component of the CCEP. This early component was chosen to capture more direct connections
327 with the stimulation site. Single pulse stimulation to the prefrontal cortex generated robust
328 CCEPs quantifiable at the single trial level (Fig 1A,B), which were observed at both local and
329 remote cortical regions (Fig 2B, left panel). We found that 10Hz stimulation elicited both
330 potentiation (Fig 1C-F) and reduction (Fig 1G-J) in CCEP amplitude that persisted after
331 completion of the stimulation protocol. In most cases, the CCEP amplitude returned close to
332 baseline after ~10 minutes (Fig 1H-J, *subject 1; representative electrode; unpaired t-test, $t_{pre,early} = 14.454$, $t_{pre,late} = 6.067$, $p < .0001$*); however, at times amplitude changes persisted (Fig 1D-F,
334 *subject 4; unpaired t-test, $t_{pre,early} = 7.39$, $t_{pre,late} = 7.70$, $p < .0001$*). Across the four subjects
335 undergoing prefrontal stimulation, statistically significant CCEP modulation was observed in at
336 least one cortical region following 10hz stimulation (Fig 2A,B). 10Hz stimulation modulated 11%

337 of all cortical regions probed (73 modulated / 661 total regions), of which potentiation occurred
338 in 51% of modulated regions and depression in 49% of regions (Fig 2B, D). Of the regions
339 modulated, 45% demonstrated sustained (>10 minutes) excitability changes (Fig 2B right panel
340 and 2C). No regions demonstrated late modulation that did not show early modulation (Fig 2C).
341 Of regions modulated, 94% were short-range (<3cm from stimulation site) and 6% long-range
342 (>3cm from stimulation site; Fig 2E). Qualitatively, similar pre-stimulation CCEP amplitude and
343 effect size maps were observed (Fig 2B, left panel), which are quantified further in subsequent
344 sections.

345

346 ***Modulated regions are anatomically and functionally closer to the stimulation site.*** What are
347 the unique features of modulated regions that make it susceptible to changes following
348 repetitive stimulation? To address this question, we next explored the relationship between
349 observed plasticity excitability changes and baseline connectivity profile at each channel. For
350 each channel we computed the distance from stimulation site, pre-stimulation CCEP amplitude,
351 and pre-stimulation CCEP latency to peak (Fig 3). Single pulse stimulation was found to elicit
352 stronger CCEP amplitude at modulated regions compared to non-modulated regions (Fig 3A; left
353 panel: $F(\text{subject}; 3, 653) = 37.5, p < .0001$; $F(\text{modulation}; 1, 653) = 231.9, p < .0001$; right panel;
354 group mean $\text{amplitude}_{\text{mod}} = 210 \mu\text{V}$, $\text{amplitude}_{\text{non-mod}} = 52 \mu\text{V}$, $t = 4.2, p = .0059$; unpaired t-test),
355 and post-hoc testing demonstrated this effect on a single subject basis (Fig 3A Mann-Whitney U
356 test; $p < .001$). Additionally, modulated regions exhibited shorter CCEP latency compared to non-
357 modulated regions (Fig 3B; left panel: $F(\text{subject}; 3, 534) = 10.7, p < .0001$; $F(\text{modulation}; 1, 534)$
358 = 93.2, $p < .0001$; right panel; group mean $\text{latency}_{\text{mod}} = 22 \text{ms}$, $\text{latency}_{\text{non-mod}} = 34 \text{ms}$, $t = 4.45, p =$
359 $.0043$; unpaired t-test). This effect was significant in 3 out of 4 subjects (Mann-Whitney U test,
360 $p < .05$). Finally, modulated regions were located closer to the stimulation site when compared to
361 non-modulated regions (Fig 3C; left panel: two-factor ANOVA, $F(\text{subject}; 3, 640) = 20.3, p <$
362 $.0001$; $F(\text{modulation}; 1, 640) = 154.2, p < .0001$; right panel: group mean $\text{dist}_{\text{mod}} = 28 \text{mm}$, $\text{dist}_{\text{non-}}$
363 $\text{mod} = 63 \text{mm}$, $t = 6.8, p = .0005$; unpaired t-test). This was also true at the single subject level (Fig
364 3C; Mann-Whitney U test; $p < .001$).

365 As distance to stimulation site was highly collinear with pre-stimulation CCEP amplitude
366 and latency across channels, we compared modulated and non-modulated channels after
367 constraining channels within a given distance range from the stimulation site (see Methods). For
368 each of the constrained distance ranges analyzed, stronger CCEP amplitudes were observed in

369 modulated regions compared to non-modulated regions (Fig 3D; 2-way ANOVA; $F(\text{modulation}$
370 $\text{effect}; 1,228)_{1-5\text{cm}} = 52.9, F(1,183)_{1-4\text{cm}} = 48.2, F(1,82)_{1-3\text{cm}} = 15, F(1,57)_{1-2.5\text{cm}} = 13.5, F(1,150)_{2-4\text{cm}} =$
371 $4.3; \text{all } p < .01; \text{group unpaired t-test } t_{1-4\text{cm}} = 3.95, p_{1-4\text{cm}} = 0.007$). However, no difference in
372 latency was observed in modulated regions when controlling for distance (Fig 3E and Fig S3; 2-
373 way ANOVA; $F(\text{modulation effect}; 1,225)_{1-5\text{cm}} = 2.78 p = .09, F(1,184)_{1-4\text{cm}} = 2.3, p = .12, F(1,86)_{1-}$
374 $3\text{cm} = 0.3, p = .8, F(1,61)_{1-2.5\text{cm}} = 5.9, p = .017 F(1,147)_{2-4\text{cm}} = 0.03; p = 0.8; \text{group paired t-test } t_{1-4\text{cm}} =$
375 $0.057, p_{1-4\text{cm}} = 0.95$).

376

377 **Modulated regions can be predicted by baseline connectivity profiles.** To assess whether pre-
378 stimulation connectivity profile can predict the magnitude of plasticity excitability changes
379 across different regions of the brain, we performed a multivariate linear regression analyses.
380 First, pre-stimulation variables (natural-logarithm of amplitude, latency and 1/distance) were
381 linearized against effect size on group analysis ($r_{\text{amplitude-cohenD}} = 0.427, p < 0.001 r_{\text{latency-cohenD}} = -$
382 $0.4511, p < 0.001, r_{\text{distance-cohenD}} = 0.510, \text{all } p < 0.001$). Similar linear relationships were observed in
383 each subject (range: $r_{\text{amplitude-cohenD}} = 0.212 \text{ to } 0.528, r_{\text{latency-cohenD}} = -0.336 \text{ to } -0.555, r_{\text{distance-cohenD}} =$
384 $0.582 \text{ to } 0.622, \text{all } p < 0.05$). Pre-stimulation variables were entered in the model in a stepwise
385 manner to predict the effect size on a given channel following repetitive stimulation (Table 3).
386 Channel distance to the stimulation site was used as the baseline predictor upon which pre-
387 stimulation CCEP amplitude and latency were subsequently added. The rationale for this was
388 that anatomical proximity is a readily accessible parameter whereas CCEP amplitude and latency
389 are not. Thus we asked if these functional metrics provided further predictive power on top of
390 using distance as a predictor. For subject and group analyses, the final model combining all
391 three features was significantly more predictive compared to the distance-only model (Table 3).
392 Distance alone as a predictor did account for at least 70% of the final R^2 value in each model. It
393 is worth noting that some subjects (S1, S2) demonstrated a >25% improvement in predictive
394 power with the addition of functional measurements (CCEP amplitude, latency), whereas others
395 (S3, S4) did not. Taken together, adding functional metrics (amplitude and latency) to distance
396 measurements can further improve the explanatory power of our models to predict the strength
397 of plasticity following stimulation.

398 Next, we constructed a binary classifier to see if pre-stimulation variables can be used to
399 correctly identify modulated channels. We obtained model discriminability of >85% in all
400 subjects undergoing prefrontal cortex stimulation [S1 (95% CI)=87 (74-94), S2=85 (69-93),

401 $S_3=99(93-100)$, $S_4=87$ (71-96)]. Sensitivity ranged from 71% to 90%, specificity from 85% to 95%
402 (Table 4), and accuracy from 80 to 95% (Fig 4B). The same analysis was performed after pooling
403 individual data into a single dataset. The group model ($AUC=89$ (83-92), $Accuracy=80\%$)
404 performed similarly to individual subject models. Using the group ROC curve, we outlined four
405 cut-offs representing different sensitivity and specificity (Table 4), which showed that increasing
406 model sensitivity corresponded with higher distance threshold, lower amplitude threshold, and
407 longer latency threshold.

408
409 **Effects of stimulation frequency on the direction of excitability change.** Time constraints
410 limited the ability to stimulate at multiple frequencies for all subjects, but in one subject (S2),
411 1Hz stimulation was performed after a 30 minutes washout period from time of the 10Hz
412 stimulation. Figure 5A illustrates the differential frequency-dependent neuromodulatory effects
413 in this subject. 10Hz stimulation resulted overall in potentiation at a majority of electrodes,
414 while 1Hz stimulation elicited suppression. Mean effect size following 10Hz stimulation was
415 significantly higher than following 1Hz stimulation (Fig 5B, $n = 141$, $d_{10Hz} = 0.62$, $d_{1Hz} = -0.03$,
416 $t(108) = 8.3$, $p < .001$, paired t-test). Across all electrodes, a significant negative correlation was
417 observed between effect sizes of 10Hz and 1Hz stimulation (Fig 5C; $r = -0.34$, $p < .001$).
418

419 **Repetitive stimulation modulates the early and late components of the CCEP.** The CCEP is a
420 complex waveform consisting of multiple voltage deflections lasting up to 500ms (Fig 6A).
421 Although the early A1 (<60ms) CCEP component reflects more direct cortico-cortical
422 connections and has been evaluated thus far, whether the later A2 (>60ms) CCEP component
423 capture similar or different dynamics is unclear. To capture the slow A2 CCEP potential
424 (Matsumoto et al., 2004; David et al., 2013; Keller et al., 2014a), we quantified peak amplitude
425 in the 60-250ms timeframe and computed the pre/post stimulation effect sizes. We observed
426 modulatory effects in the A2 CCEP component, with a smaller proportion (but non-significant) of
427 regions modulated compared to the A1 CCEP component (Fig 6B-C; regions modulated
428 (mean±SD); A1 = $19.1 \pm 6.8\%$; A2 = $5.6 \pm 3.1\%$; $t(3) = 1.76$, $p = 0.17$; paired t-test). Excitability
429 changes in both A1 and A2 CCEP components were observed in overlapping cortical regions in
430 S1 and S4 (Fig 6B). S2 did not demonstrate significant change in the A2 CCEP component
431 whereas S3 exhibited excitability change in the A2 CCEP component at a new cortical area
432 (across a slightly distributed set of cortical areas) (intra-subject mean $R_{A1, A2}=0.32$). In summary,

433 changes in excitability can be observed in the late component of the CCEP and appear to occur
434 in a lower proportion of the cortex than the early CCEP component.

435

436 ***Intra-stimulation dynamics partially reflect post-stimulation excitability changes.*** To further
437 understand the dynamics of excitability changes, we quantified the voltage deflections evoked
438 by each pulse within a stimulation train. We found that intra-stimulation evoked potentials
439 (IEPs) can be observed and quantified on a single trial level (Fig 7A). At an exemplar site (Fig 7A-
440 B, the same site in Fig 1G-J), IEPs decreased linearly over time as the number of stimulation
441 trains increased. As expected, we observed that the amplitude of the last IEP in the stimulation
442 period is approximately equal to the amplitude of the first post-stimulation CCEP. To visualize
443 the IEP waveform, we divided the stimulation period into three equal segments and plotted the
444 average voltage deflections (Fig 7B). The IEP occurs mostly within 20-50ms, with amplitude
445 peaking around ~25ms (Fig 7C). Over time during the stimulation period, we observed a
446 reduction in IEP amplitude (Fig 7D). To examine how intra-stimulation dynamics correlate with
447 pre/post testing, we plotted IEP and CCEP effect sizes on brain surfaces (Fig 7E). S1 and S3
448 showed similar direction and spatial localization of channels undergoing IEP or CCEP change,
449 whereas this was not observed in S2 and S4. Specifically, S2 showed IEP amplitude suppression
450 in cortical regions distinct from where CCEP amplitude potentiation was observed on pre/post
451 testing. Similarly, for S4, IEP changes occurred contralateral to where CCEP plasticity dynamics
452 were observed. These relationships are further quantified in scatterplots, which showed positive
453 correlation between IEP and CCEP effect sizes in S1 and S3 but no significant correlation in S2
454 and S4 (Fig 7E). Furthermore, we showed that on average, channels with potentiation of IEP
455 amplitude corresponded with potentiation of CCEP amplitude (Fig 7F; two-factor ANOVA, F
456 (subject; 3,653) = 64.9, $p < .0001$; F (IEP; 1, 653) = 26.5, $p < .0001$; right panel: $t = 3.3$, $p = 0.016$;
457 unpaired t-test). A significant difference in CCEP amplitude between channels showing IEP
458 suppression or IEP potentiation was observed in S1, S2, and S3 (Fig 7F; Mann-Whitney U-test,
459 $p < 0.05$).

460

461 ***Repetitive motor and temporal stimulation also produces changes that outlast the stimulation***
462 ***period in predictable brain regions.*** To test the generalizability of our findings, we examined the
463 effect of repetitive 10Hz stimulation in motor and temporal cortices in a separate cohort. In all
464 four of these subjects, CCEP amplitudes were suppressed following 10Hz stimulation (Fig 8).

465 Regions with high CCEP amplitude roughly corresponded to regions that were modulated
466 following stimulation. In subjects receiving stimulation to the motor (S5-S7) and temporal
467 cortex, the suppression of CCEP amplitude was observed local to the stimulation site. For both
468 motor and temporal cortex stimulation, CCEP amplitude suppression was prominent
469 immediately following stimulation, with a gradual return to baseline after approximately 10
470 minutes. The exception to this was S7, who did not show immediate CCEP amplitude
471 suppression. Due to low number of channels modulated following motor stimulation, we pooled
472 the data from S5-7 for further analysis. We found that modulated channels demonstrate higher
473 pre-stimulation CCEP amplitude and were closer to the stimulation site than the non-modulated
474 regions (Fig 9A-B; *Mann-Whitney U test*; $p < .001$). However, modulated channels did not differ in
475 pre-stimulation CCEP latency compared to non-modulated channels with motor cortex
476 stimulation (Fig 9C; *Mann-Whitney U-test*; $p_{motor} = 0.10$), whereas modulated channels after
477 temporal cortex stimulation had higher pre-stimulation CCEP latency (Fig 9C; $p_{temporal} < .001$).
478 Similar to prefrontal stimulation findings, adding pre-stimulation CCEP amplitude and latency to
479 distance in a regression model led to improved adjusted R^2 in explaining the strength of
480 plasticity excitability change following motor and temporal cortex stimulation (Table 3). Three
481 subjects (S5-7) demonstrated a >25% increase in adjusted R^2 by incorporating functional
482 baseline features. A binary classifier incorporating these pre-stimulation variables predicted
483 regions of modulation with 88% accuracy, 89 (77-96) AUC in patients with motor cortex
484 stimulation and 72% accuracy, 81 (74-87) AUC in patients with temporal cortex stimulation (Fig
485 9D). A range of sensitivity and specificity values are outlined for these patients as well (Table 4).
486

487 **Discussion.**

488 **Summary of findings.** We investigated the neurophysiological effects of repetitive electrical
489 stimulation in humans in a manner thought to induce potentiation when applied non-invasively.
490 Prefrontal stimulation (N=4) induced both local and distal excitability changes in a subset (12%)
491 of regions measured, with some consistent predictive characteristics. Stimulation elicited
492 plasticity excitability change 1] in regions anatomically closer and functionally connected to the
493 stimulation site, 2] in the form of potentiation and depression, and 3] in both early and late
494 CCEP components. We demonstrate high accuracy (72-95%) and discriminability (81-99%) in
495 predicting regions of plasticity excitability changes using individual subjects' pre-stimulation
496 connectivity profile, and show that adding pre-stimulation functional measures after accounting

497 for distance to the stimulation site significantly improved model performance. We found similar
498 results in an independent dataset of four patients undergoing either motor or temporal cortex
499 stimulation. Lastly, intra-stimulation evoked potentials exhibited partial consistency with the
500 findings on pre/post CCEP testing, and revealed unique cortical regions undergoing short-term
501 excitability changes.

502

503 **Mechanism underlying cortical excitability changes.** This work provides further evidence that
504 10Hz stimulation in human non-motor cortex produces heterogeneous plasticity excitability
505 changes that are likely subject dependent. Early neuroimaging studies demonstrated that high
506 frequency prefrontal rTMS increased regional cerebral blood (rCBF) locally but with variable
507 effects at other cortical regions (Speer et al., 2000; Catafau et al., 2001; Nahas et al., 2001).
508 Following a single session of repetitive stimulation, we observed persistent CCEP changes. These
509 effects lasted for at least 10 minutes in all subjects, and in one subject who underwent both 1Hz
510 and 10Hz stimulation, opposing directional effects were observed. These findings are in line with
511 previous rTMS studies in healthy participants using EEG or fMRI (reviewed in (Thut and Pascual-
512 Leone, 2010)), suggesting potential generalizability to non-invasive stimulation.

513 Additionally, we found differences in the proportion of sites undergoing suppression or
514 potentiation. Motor cortex stimulation suppressed the early A1 in all three patients, consistent
515 with motor rTMS eliciting unidirectional effects in the MEP (Ziemann et al., 2008) and EEG
516 potentials (Esser et al., 2006; Holler et al., 2006). However, the suppression of the A1
517 component, which likely represents depression of cortical connections (Dudek and Bear, 1992;
518 Kirkwood and Bear, 1994), is in contrast with non-invasive findings. At this time, it is unclear if
519 the difference between this suppression and the commonly reported potentiation in non-
520 invasive studies stem from the nature of the perturbation (electrical vs magnetic), measurement
521 technique (CCEP vs TMS-evoked potential), or population (epilepsy vs healthy). Furthermore,
522 prefrontal stimulation elicited A1 potentiation (N=2) and suppression (N=2). Given the across-
523 subject consistency following motor cortex stimulation, the directional variability observed here
524 is thus less likely due to differences in stimulation or recording sites but more so true variability
525 in the manner that prefrontal cortex responds to repetitive stimulation. These results suggest
526 high frequency stimulation does not consistently increase cortical excitability and add to the
527 existing evidence showing inter-individual variability in cortical responsiveness to non-invasive
528 stimulation (Cardenas-Morales et al., 2014; Lopez-Alonso et al., 2014; Nettekoven et al., 2015).

529 With respect to the cortical location of excitability changes, we were able to identify
530 modulated regions with 85% accuracy using pre-stimulation network features. This indicates
531 roughly 15% of modulated regions were either not induced within the stimulation network
532 (false positives) or were induced outside of it (false negatives), suggesting that stimulation
533 effects are not distributed to all nodes within the network, nor are they confined to the
534 network. Finally, for all stimulated regions, excitability changes tended to occur in one direction
535 for a given patient. Although pre-stimulation features could not explain the direction of
536 observed changes, the direction of intra-stimulation changes was informative.

537 Finally, we note that the transient changes in evoked potentials we have observed can
538 be understood as a form of functional plasticity -- however, further investigation is necessary to
539 determine whether and how this functional plasticity relates to cellular and synaptic change.
540

541 **Intra-stimulation excitability dynamics.** For the first time, we demonstrate that intra-
542 stimulation changes measured intracranially can capture stimulation-induced neuronal
543 dynamics. Across brain regions, the direction of IEP changes corresponded with the direction of
544 CCEP changes. In particular, significant changes in IEP reflected plasticity-excitability change on
545 pre/post CCEP testing in two out of four subjects. These discrepancies between subjects may be
546 due to low signal-to-noise in the IEP signal or represent brain regions that change after
547 stimulation as a result of intra-stimulation changes in connected regions. While intriguing, much
548 work is needed regarding understanding the dynamics of plasticity induction before translating
549 into treatment. Only a few studies have addressed these questions non-invasively, and have
550 showed variable intra-stimulation cortical excitability dynamics (Hamidi et al., 2010; Veniero et
551 al., 2010). Further work is required to understand how intra-stimulation cortical dynamics is
552 related to long-lasting brain changes, which can lead to the development of novel stimulation
553 therapies that maximize brain changes.
554

555 **Towards optimization of non-invasive brain stimulation.** Translating these results to non-
556 invasive stimulation could provide principles for personalizing therapeutic stimulation.
557 Currently, rTMS treatment for depression and other neuropsychiatric disorders apply a 'one-
558 size-fits-all' approach to target the left DLPFC by localizing motor cortex and moving anteriorly
559 5cm (Reid et al., 1998). However, this protocol does not account for variations in individual
560 anatomy and functional connectivity. In fact, neuronavigational efforts that target the

561 stimulation site based on the subject's anatomy (Fitzgerald et al., 2009) or functional
562 connections (Fox et al., 2012) suggest improved outcomes. Furthermore, Nettekoven
563 (Nettekoven et al., 2015) recently showed responsiveness to rTMS was partially dependent on
564 the pre-stimulation network connectivity of the stimulated site. Our work demonstrates that by
565 using pre-stimulation network properties (distance, CCEP amplitude and latency), we could
566 predict (with 48% of variance explained) both the strength of plasticity and regions of significant
567 modulation. Thus, based on the downstream circuit of interest (i.e. the fronto-parietal or default
568 mode network in depression), one could model the effect of repetitive stimulation from pre-
569 treatment characteristics and modify the stimulation site to target the network of interest.
570 Multiple obstacles need to be overcome prior to implementation (see Limitations), but this
571 approach represents an exciting path to personalized non-invasive neuromodulation.
572

573 **Limitations and future directions.** While this work improves our understanding of human
574 cortical plasticity, several important considerations limit the interpretation and generalizability
575 of this work. First, as is true for all work in the epilepsy surgery population, access to direct
576 recordings in awake humans do not come without cost, as generalizing from these patients is
577 difficult. Our sample size is small, patients were heterogeneous with respect to seizure onset
578 and implant type, and the seizure focus and early epileptic spread regions can affect local and
579 global brain excitability and connectivity (Pereira et al., 2010; Bettus et al., 2011; Pittau et al.,
580 2012). Therefore, findings from this study may be skewed based on their proximity to the
581 epileptic network. A larger follow-up study comparing the direction and duration of plasticity
582 effects to the proximity and severity of the epileptic network is warranted. Second, we could not
583 exclude the possibility of homeostatic plasticity in this study. Previous work showed that a
584 priming stimulation period before repetitive stimulation modifies the effects of brain
585 stimulation (Siebner et al., 2004; Potter-Nerger et al., 2009). Specifically, preconditioning with
586 transcranial direct current (tDCS) can change the direction of the rTMS-induced changes in the
587 motor cortex (Lang et al., 2004; Siebner et al., 2004) and to a lesser extent in the visual cortex
588 (Lang et al., 2007). This homeostatic mechanism is postulated to stabilize neuronal activity when
589 plasticity-inducing interventions are administered in close sequence (reviewed in (Karabanov et
590 al., 2015)). The excitability effects of 10Hz stimulation observed in our study could be modulated
591 by the pre-stimulation CCEP test pulses, thus limiting our conclusions regarding the intrinsic
592 effects of 10Hz stimulation. Third, due the absence of sham control, plasticity may be affected

593 by subject fatigue during stimulation. Studies measuring TMS-evoked potentials and CCEP
594 demonstrated marked cortical excitability changes during the transition to sleep (Massimini et
595 al., 2005; Pigorini et al., 2015). Our subjects were monitored to ensure they did not fall asleep
596 during stimulation, though it remains possible subtle fatigue may alter cortical excitability. In the
597 study by Pigorini et al., CCEPs exhibited a change in waveform morphology during sleep
598 compared to wakefulness, which was not observed in our analysis. Fourth, time constraints
599 within this surgical population (typically ~1 hour per subject) limit the ability to perform control
600 experiments including additional 1Hz stimulation, stimulation across multiple days, and
601 stimulation of sites both within and outside the network of interest. Fifth, the spatial spread and
602 depth penetration induced by stimulation has been described previously, but was not
603 performed in this study ((Butson et al., 2006; Xie et al., 2006), reviewed in (Yousif and Liu,
604 2007)). Future work applying electrical field modeling would improve the interpretability of
605 stimulation effects. Lastly, measuring resting state or task-induced coherence could increase
606 interpretability and may provide additional information on predicting long-term plasticity
607 changes. Additionally, the behavioral effects stimulation was not measured in our study and
608 warrants further investigation with mood self-reports (Wozniak-Kwasniewska et al., 2014) and
609 other behavioral and state-dependent measures that target the DLPFC.

610
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619

620 **Figures and legends.**

621 **Tables.**

622 **Table 1 – Participant characteristics, electrode coverage, stimulation site and parameters**

ID	Age	Gender	Handedness	Seizure focus	Implant Type	Stim Location
S1	43	F	R	Left parasagittal	Grid/strips	Left frontal
S2	50	F	R	Right OFC / amygdala	Right sEEG	Right frontal
S3	48	F	R	Right mesial temporal	Bilateral sEEG	Right frontal
S4	46	M	R	Right posterior temporal	Bilateral sEEG	Left frontal
S5	21	M	R	Right mesial temporal	Grid/strips	Right motor
S6	57	F	L	Left mesial temporal	Left sEEG	Left motor
S7	31	F	R	Right STG / mesial temporal	Right sEEG	Right motor
S8	30	F	R	Left mesial temporal	Grid/strips	Left temporal

623 sEEG = stereotactic EEG; OFC = orbitofrontal cortex; STG = superior temporal gyrus

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629 *Table 2 – Participant characteristics, electrode coverage, stimulation site and parameters*

ID	Type of stimulation	Lobe stimulated	MNI coordinates	Current	Number of recording electrodes	Number of pre-stimulation CCEPs	Number of post-stimulation CCEPs	Duration of stimulation (number of pulses / train, number of cycles)	Percent of modulated channels in early time window	Percent of modulated channels in late time window
S1	10Hz	Left Prefrontal	-39, -5, -9.5	8mA	109	190	783	50 pulses/train 60 trains	25	13
S2	10Hz +1Hz	Right Prefrontal	5, 50, -14	4mA	110	200	399	50 pulses/train 60 trains	36	1
S3	10Hz	Right Prefrontal	27, 20, 41	4mA	219	358	997	50 pulses/train 60 trains	6	4
S4	10Hz	Left Prefrontal	-44, 34, 31	6mA	224	197	1161	50 pulses/train 60 trains	10	6
S5	10Hz	Right Motor	34, -21, 72	6mA	175	116	822	50 pulses/train 60 trains	7	2
S6	10Hz	Left Motor	-43, -23, 49	4mA	139	141	1273	50 pulses/train 60 trains	4	0
S7	10Hz	Right Motor	41, -22, 77	1mA	199	147	343	50 pulses/train 60 trains	0	2
S8	10Hz	Left Temporal	-37, 23, -31	7mA	190	230	860	50 pulses/train 60 trains	49	30

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Table 3 – Multiple Linear Regression Analysis for Variables Predicting Post-Stimulation Effect Size

S1 Prefrontal (N = 108)		S2 Prefrontal (N = 109)		S3 Prefrontal (N = 208)		S4 Prefrontal (N = 223)		S1-4 Prefrontal (N = 648)		S5-7 Motor (N = 513)		S8 Temporal (N = 190)		
Predictor	β	SE(β)	β	SE(β)	β	SE(β)	β	SE(β)	β	SE(β)	β	SE(β)	β	SE(β)
Distance	0.245	0.179	0.128	0.092	0.226	0.057	0.456	0.061	0.279	0.045	0.195	0.040	0.133	0.152
Amplitude	0.669	0.139	0.151	0.084	0.067	0.050	0.123	0.061	0.105	0.035	0.167	0.032	0.853	0.092
Latency	-0.383	0.358	-0.374	0.180	-0.248	0.109	0.359	0.104	-0.258	0.093	0.150	0.069	0.781	0.265
<u>R² for each stepwise model</u>														
Distance	0.339		0.185		0.374		0.387		0.260		0.135		0.107	
+Amplitude (χ ² for Δ)	0.480 (26.0***)		0.235 (6.8**)		0.386 (4.3*)		0.398 (4.1*)		0.277 (14.7***)		0.173 (23.6***)		0.471 (98.8***)	
+Latency (χ ² for Δ)	0.486 (1.2)		0.265 (4.4*)		0.402 (5.3*)		0.430 (11.9***)		0.285 (7.8**)		0.179 (4.8*)		0.492 (8.7**)†	
F for Final Model	32.8***		12.6***		45.6***		55.0***		85.8***		37.3***		61.1***	

Note: all predictors are log transformed to base e. 1/Distance is used.

*p < 0.05, **p < 0.01, ***p < 0.001

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Table 4 – SVM model sensitivity and specificity for optimal predictor cut-offs

Sensitivity	Specificity	Distance Threshold (mm)	Amplitude Threshold (uV)	Latency Threshold (ms)
Model: Distance + Amplitude + Latency				
S1 Prefrontal	0.84	0.85	82	56
S2 Prefrontal	0.71	0.90	20	106
S3 Prefrontal	0.90	0.95	24	106
S4 Prefrontal	0.76	0.95	31	43
S1-4 Prefrontal	0.60	0.95	29	566
S5-7 Motor	0.67	0.95	40	68
S8 Temporal	0.54	0.90	47	92
Distance Threshold (mm)	Amplitude Threshold (uV)	Latency Threshold (ms)	Sensitivity	Specificity
S1-4 Prefrontal Cortex: Distance + Amplitude + Latency				
89	19	48	100	15
42	35	36	84	70
29	566	13	60	95
7	310	20	14	100
S5-7 Motor Cortex: Distance + Amplitude + Latency				
67	29	45	100	65
40	68	42	67	95
11	560	34	20	100
S8 Temporal Cortex: Distance + Amplitude + Latency				
68	45	49	98	20
47	92	58	54	90

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650 **Figure 1 – Repetitive stimulation elicited changes in the cortico-cortical evoked potentials**
651 (**CCEPs**) **that outlasted the stimulation by at least five minutes.** A) Schematic showing
652 experimental setup. Pre- and post-stimulation CCEPs are used to probe cortical excitability and
653 connectivity changes due to stimulation protocol. B) Example of two consecutive CCEPs. Gray
654 region indicates time window used to quantify peak-to-peak amplitude represented by vertical
655 red line. Traces are taken from recording site in C. C) Reconstructed CT-MRI of subdural
656 electrodes located on the cortical surface. Lightning bolt denotes stimulation site while circle
657 represents exemplar recording site. D) Scatterplot of CCEP amplitude before and after 10Hz
658 stimulation at recording electrode in C. Amplitude is expressed as the ratio of post- vs pre-
659 stimulation baseline. Each data point (+/- SE bars) represents ten consecutive CCEPs. Blue
660 regions represent pre-stimulation time periods, while red and green regions represent the early
661 (0-3min) and late (7-10min) post-stimulation time periods, respectively. E) Mean CCEP
662 waveforms for each time period illustrated in D. Shaded regions represent SE (n = 100 trials /
663 mean CCEP). F) Quantification of CCEPs following 10Hz stimulation. Post-stimulation
664 distributions (red and green bars) are compared to pre-stimulation (blue) data. *Wilcoxon*
665 *ranksum test*, *** $p < .001$ after correction for multiple comparisons. G-J) Same as C-F but for
666 another subject demonstrating potentiation effects. Note the decrease in CCEP amplitude
667 following 10Hz stimulation at this recording site remote to stimulation site.
668

669 **Figure 2 – Cortical excitability changes outlasting stimulation was observed in all subjects and**
670 **differed with respect to the direction of change.** A) Pre-operative MRI co-registered with post-
671 operative CT showing intracranial electrodes and stimulation site (arrow). B) Single subject brain
672 plots represent pre-stimulation CCEP and post-stimulation (early and late) change in CCEP.
673 Colors of each electrode represent regions that demonstrated positive (warm colors) or negative
674 (colder colors) CCEP effect size due to stimulation. Brain plots were thresholded based on 5%
675 FDR significance level. Electrode size represents z-score relative to a normal distribution (see
676 legend). C-E) Group summary quantifying excitability change C) duration, D) direction, E) and the
677 effect of distance.
678

679 **Figure 3 – Modulated regions were anatomically and functionally closer to stimulation site.** A-
680 C) Boxplots showing the single subject relationship of modulation and pre-stimulation A)
681 amplitude, B) latency, and C) distance. Left panel: example of how amplitude and latency were

682 quantified. Right panel: group results derived from single subject analysis. D-E) Distance-
683 controlled relationship of modulation and amplitude and latency. Top: Example of effect size
684 with transparent outline of distance-constrained analysis. Note that amplitude was stronger in
685 modulated regions after correcting for distance, but latency no longer demonstrates a statistical
686 effect.

687

688 ***Figure 4 – Anatomical and functional connectivity predicted location of plasticity changes***
689 ***excitability effects.*** A) Training and support vector data. Both features are log-normalized prior
690 to classifier training and testing. The hyperplane line separates the modulated and non-
691 modulated data. Predictors were standardized to a mean of 0 and SD of 1. B) Single subject and
692 group receiver operating curve (ROC) using pre-stimulation features to predict regions
693 undergoing excitability changes. Accuracy of classifier is noted in the legend. Diagonal line
694 represents chance.

695

696 ***Figure 5 – The direction of excitability change differed for 1Hz and 10Hz repetitive stimulation.***
697 A) Effect size maps for participant2 following 10Hz and 1Hz stimulation. Colors represent
698 strength of effect size change. Insert: CCEPs pre/post stimulation from electrode in A denoted
699 with arrows. B) Mean effect sizes following 10Hz and 1Hz stimulation. *** $p < .001$, paired t-test.
700 C) Relationship of 1Hz and 10Hz effect sizes for all electrodes.

701

702

703 ***Figure 6 –Excitability changes were observed more often in earlier than later CCEP***
704 ***components.*** A) Example CCEP waveform before and after repetitive stimulation. Note the early
705 sharp deflections and later slow potential. B) Effect size plots quantifying CCEP change during
706 the early ('A1', 10-60ms) and late ('A2', 60-250ms) components of the CCEP. C) Single subject
707 comparison between CCEP changes in the early and late CCEP components.

708

709 ***Figure 7 – Intra-stimulation evoked potential (IEP) dynamics partially reflect CCEP changes***
710 ***observed following stimulation.*** A) Top panel: schematic of temporal relationship of CCEP and
711 IEP. Bottom pane: Four consecutive single trial IEPs within a single train of pulses. Gray
712 background and vertical line denote the time window and peak-to-peak quantification of IEP,
713 respectively. B) Relationship of CCEP and IEP dynamics at a single electrode. C) IEP waveform

714 traces at beginning, middle, and end of stimulation. D) Quantification of B and C. E) Single
715 subject effect size maps for IEP and CCEP. Note the similar regions of suppressed IEP and CCEP
716 both locally and at more remote locations. E) Top: single subject relationship of IEP and CCEP
717 dynamics. Bottom: relationship of IEP vs CCEP effect size for each subject. Note the weak but
718 positive correlation between IEP dynamics and pre/post CCEP measures. F) Box plots (left) and
719 group analysis (right) comparing IEP and CCEP effect size.

720

721 **Figure 8 - Repetitive stimulation of the motor and temporal cortex also elicit CCEP changes**
722 **outlasting the stimulation.** Brain plots showing topography of pre-stimulation CCEP amplitude
723 and post-stimulation (early and late) change in CCEPs in subjects undergoing motor cortex
724 stimulation ($n = 3$) and temporal cortex stimulation ($n = 1$). Colors of each electrode for the brain
725 plots show pre-stimulation CCEP as high (red colors) or low (green colors) and post-stimulation
726 effect sizes as positive (warm colors) or negative (colder colors) effect sizes. Left panel shows
727 pre-operative MRI co-registered with post-operative CT (stimulation site denoted by arrow).
728 Electrodes showing effect sizes were thresholded using 5% FDR correction for multiple
729 comparisons, with grey electrodes showing channels with non-significant effect sizes. Electrode
730 size represent magnitude of z-score relative to a normal distribution (see legend). Insert: Mean
731 CCEP waveforms for exemplar electrode denoted with white arrow. Shaded regions represent
732 SE. Scale represents 100uV and 20ms.

733

734 **Figure 9 - Anatomical and functional connectivity predict modulated regions in both motor**
735 **and temporal stimulation.** A-C) Boxplots showing relationship between whether an electrode is
736 modulated and its pre-stimulation parameters A) amplitude, B) distance and C) latency for
737 motor cortex stimulation ($n = 3$) and temporal cortex stimulation ($n = 1$). Data for the 3 patients
738 with motor cortex stimulation were pooled prior to analysis. D) ROC using pre-stimulation
739 features to predict regions undergoing excitability changes following motor cortex stimulation
740 or temporal cortex stimulation. Diagonal line represents chance.

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744 **References.**

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